

Programa de Pós-Graduação em Ecologia e Conservação Instituto de Biociências Universidade Federal de Mato Grosso do Sul

# Padrões e processos macroevolutivos na origem e diversificação de vertebrados terrestres da Floresta Atlântica

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# Padrões e processos macroevolutivos envolvidos na origem e diversificação de vertebrados terrestres da Mata Atlântica

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"A guerra que nos reaproximou de nós É a mesma que me pôs a repensar meus sonhos O quanto neles era só publicidade? Fazendo acreditar que eram meus próprios planos Medo de fazer meus próprios planos serem Nossos planos mesmo que eu tombe antes de vê-los Agora vendo florescerem Inevitavelmente eu sei que estarei lá *No dia que eles finalmente cheguem* Um dia desse eu tava meio cabreiro Sem saber o que pode me acontecer *E não ver o fruto que eu plantei em algum janeiro* Mas tive um relampejo de que já estão aí E a gente pode ser feliz agora mesmo Apesar da batalha, o pente cheio As tecnologias ancestrais nós temos Pra induzir o sonho dentro de um pesadelo Entre um traçante e outro Dilatar o tempo e imaginar um mundo novo

A única luta que se perde é a que se abandona e nós nunca abandonamos a luta. Hay que endurecer sem nunca perder a ternura"

Don L, trecho de "Primavera".

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#### Resumo geral

A Floresta Atlântica é um dos hotspots globais de biodiversidade devido ao grande número de espécies endêmicas sob alto grau de ameaça. Várias hipóteses têm sido propostas para explicar as causas da alta biodiversidade neste bioma, como a hipótese dos refúgios. Por outro lado, barreiras de dispersão e especiação alopátrica parecem ser importantes para determinar a diversidade genética em algumas espécies. No entanto, ainda não existe consenso sobre a importância relativa destes processos ou como eles variam ao longo do espaço e entre organismos com diferentes habilidades de dispersão e tolerância térmica. Logo, iniciativas que integram abordagens de áreas como ecologia, macroevolução e evolução fenotípica podem contribuir para melhorar o entendimento sobre os processos chave envolvidos na formação da biota da Floresta Atlântica. O objetivo dessa tese foi identificar os processos macroevolutivos envolvidos na origem e diversidade de vertebrados terrestres da Floresta Atlântica. A tese está dividida em dois capítulos: o primeiro intitulado "Productivity interacts with diversification rate in determining species richness and trait diversity of tetrapods in a global hotspot" e o segundo "The effects of climatic niche on the rate of body size evolution in terrestrial vertebrates". No primeiro capítulo estávamos interessados em investigar quais variáveis das dinâmicas de equilíbrio (topografia, clima e produtividade primária) e de nãoequilíbrio (taxa de diversificação e idade da assembleia) explicam a riqueza de espécies e a diversidade funcional dos quatro clados de vertebrados terrestres (anfíbios anuros, répteis squamata, aves e mamíferos não-voadores) na Floresta Atlântica. Também investigamos se ospadrões espaciais são congruentes entre os grupos. Encontramos que a riqueza de espécies e a diversidade funcional têm os mesmos preditores nas linhagens de vertebrados, mas operam de maneira diferente no espaço. A diversidade de clados não foi afetada pelo tempo de especiação, enquanto a taxa de diversificação teve uma grande influência positiva na diversidade funcional e na riqueza de espécies. Nossos resultados reforçam a necessidade de avaliar múltiplos táxons ao testar explicações alternativas para padrões de diversidade. No segundo capítulo, estávamos interessados em testar se a taxa de evolução do tamanho do corpo de vertebrados terrestres é impactada pelo nicho climático. Encontramos que os vertebrados terrestres apresentam padrões idiossincráticos de evolução fenotípica ao longo do tempo, com algumas linhagens acelerando e outras desacelerando suas taxas evolutivas. Além disso, não encontramos uma relação significativa entre as taxas de evolução fenotípica e o nicho climático. No entanto, a

amplitude influencia mais a variação das taxas do que a sua posição do nicho. Esses resultados ressaltam a importância das pressões seletivas e das características específicas de cada grupo na evolução fenotípica. Ainda, é possível que diferentes eixos do nicho ecológico das espécies impactem as taxas evolutivas do tamanho do corpo nos vertebrados terrestres. Juntos, esses capítulos fornecem uma visão mais completa dos processos que moldaram a biota da Floresta Atlântica, abrangendo tanto aspectos macroecológicos quanto macroevolutivos das comunidades de vertebrados terrestres. Nossas descobertas têm implicações para nossa compreensão dos impulsionadores de biodiversidade e das mudanças evolutivas nas taxas fenotípicas de vertebrados, assim como dos fatores que moldam os padrões de biodiversidade em escalas regionais.

#### **General abstract**

The Atlantic Forest is one of the global hotspots of biodiversity due to the high number of endemic species under a high degree of threat. Several hypotheses have been proposed to explain the causes of high biodiversity in this biome, such as the refuge hypothesis. On the other hand, barriers to dispersal and allopatric speciation seem to be important in determining genetic diversity in some species. However, there is still no consensus on the relative importance of these processes or how they vary across space and among organisms with different dispersal abilities and thermal tolerance. Therefore, initiatives that integrate approaches from areas such as ecology, macroevolution, and phenotypic evolution can contribute to a better understanding of the key processes involved in the formation of the Atlantic Forest biota. The objective of this thesis was to identify the macroevolutionary processes involved in the origin and diversity of terrestrial vertebrates in the Atlantic Forest. The thesis is divided into two chapters: the first entitled "Productivity interacts with diversification rate in determining species richness and trait diversity of tetrapods in a global hotspot" and the second "The effects of climatic niche on the rate of body size evolution in terrestrial vertebrates". In the first chapter, we were interested in investigating which variables from equilibrium dynamics (topography, climate, and primary productivity) and non-equilibrium dynamics (diversification rate and assemblage age) explain species richness and functional diversity of the four clades of terrestrial vertebrates (anuran amphibians, squamata reptiles, birds and non-volant mammals) in the Atlantic Forest. We also investigated whether their spatial patterns are congruent among the groups. We found that species richness and functional diversity have the same predictors in vertebrate lineages but operate differently in space. The clade diversity was not affected by speciation time, while diversification rate had a strong positive influence on functional diversity and species richness. Our results emphasize the need to evaluate multiple taxa when testing alternative explanations for diversity patterns. In the second chapter, we were interested in testing whether the rate of body size evolution in terrestrial vertebrates is impacted by the climatic niche. We found that terrestrial vertebrates exhibit idiosyncratic patterns of phenotypic evolution over time, with some lineages accelerating and others decelerating their evolutionary rates. Additionally, we did not find a significant relationship between phenotypic evolution rates and the climatic niche. However, amplitude influences the variation in rates more than niche position. These results highlight the importance of selective pressures and specific characteristics

of each group in phenotypic evolution. Furthermore, it is possible that different axes of the species' ecological niche impact the evolutionary rates of body size in terrestrial vertebrates. Together, these chapters provide a more complete view of the processes that shaped the Atlantic Forest biota, encompassing both macroecological and macroevolutionary aspects of terrestrial vertebrate communities. Our findings have implications for our understanding of the drivers of biodiversity and evolutionary changes in vertebrate phenotypic rates, as well as the factors that shape biodiversity patterns at regional scales.

#### Introdução geral

A Floresta Atlântica é a segunda maior floresta tropical da América do Sul, abrangendo uma área de 1.6 milhões de hectares (Muylaert et al., 2018). Essa floresta tropical também é conhecida por ser uma das regiões mais biodiversas do planeta, sendo considerada um dos 35 *hotposts* globais de interesse em conservação com uma alta riqueza de espécies endêmicas (Mittermier et al., 2011; Marques et al., 2021). Originalmente, a Floresta Atlântica se estendia da costa nordeste do Brasil até o norte do Paraguai e Argentina (Ribeiro et al., 2009) cobrindo uma extensa área latitudinal (3°S-30°S), longitudinal (35°-60°W) e com variações acentuadas na altitude (0-2900m do nível do mar). Essa heterogeneidade reflete em um elevado gradiente climático, com áreas de menor (1000 mm) e maior precipitação (4200 mm), contribuindo para a formação de uma grande variedade de fisionomias florestais, como florestas ombrófilas, semidecíduas, decíduas, manguezais, pântanos, restingas, campos rupestres em grandes altitudes entre outras formações (revisado em Marques et al., 2021).

Diversas evidências palinológicas e filogeográficas apontam conexões entre a Floresta Amazônica e a Mata Atlântica no passado, como as que ocorreram no Último Máximo Glacial no período do Quaternário, a aproximadamente 25 a 33 mil anos atrás (Sobral-Souza et al., 2015). As conexões passadas dessas florestas ocorreram como resultado de flutuações climáticas, fazendo com que a formação dessa floresta possua uma complexa história evolutiva (Carnaval & Moritz, 2008; Sobral-Souza et al., 2015). Essas conexões facilitaram a dispersão de muitas linhagens e o isolamento de outras, contribuindo diretamente para a formação de comunidades em florestas ripárias na Diagonal Seca (Cabanne et al., 2011; Sobral-Souza et al., 2015) e a colonização e extinção de espécies ao longo do gradiente climático e espacial da Floresta Atlântica. Portanto, a formação desse domínio parece ser o resultado da dinâmica geológica das florestas tropicais da América do Sul, com acentuada contribuição do conjunto de espécies que colonizaram a Floresta Atlântica nos últimos 20 mil anos (Marques et al., 2021).

Diferentes estudos têm apontado mecanismos envolvidos na formação e origem da alta diversidade de espécies da Floresta Atlântica (revisados em Dantas et al., 2011, Batalha-Filho & Miyaki, 2011, da Silva et al., 2011). Atualmente, existem ao menos três hipóteses não excludentes para explicar a diversificação de espécies no domínio: (1) Refúgios do Plio-Pleistoceno, que consistiram em fragmentos florestais que ficaram isolados durante as flutuações climáticas que ocorreram ao longo do tempo, levando à

acumulação de novas linhagens nessas áreas (Ab'Saber, 1979) e formação de centros de endemismo (Carnaval & Moritz, 2008); (2) Soerguimento de montanhas e rios que podem atuar como barreiras geográficas, ou até como agentes de modificações na paisagem. Essa hipótese está alinhada com a ideia de que o surgimento de montanhas como a Serra do Mar no sudeste do Brasil, além de atuarem como barreiras também modificariam o clima e potencialmente criaram condições para diversificação das linhagens (Simpson, 1953). Além disso, rios de grande porte como o rio São Francisco, rio Doce, rio Parapanema poderiam isolar reprodutivamente as populações promovendo especiação alopátrica (e.g. Menezes et al., 2016). Por fim (3) a hipótese de gradientes ecológicos que diz que a transição gradual entre florestas úmidas e secas criam regimes de seleção (e.g., Cabanne et al., 2011), podendo promover divergência e consequentemente especiação parapátrica. Embora essas hipóteses sejam comumente utilizadas para explicar a biodiversidade da Floresta Atlântica em largas escalas espaciais, processos de diversificação podem variar entre linhagens (Buckley et al., 2012; Rolland et al., 2018), e ainda não há um estudo que vise integrar linhas de evidências distintas e de diferentes grupos para determinar as causas dessa alta biodiversidade.

A macroecologia e a macroevolução se concentram em identificar padrões e processos que ocorrem em escalas geográficas e temporais amplas, usando ferramentas estatísticas para compreender a distribuição e a evolução da biodiversidade a partir de uma perspectiva integrativa (Keith et al., 2012). A macroecologia, como linha de pesquisa, surgiu há mais de duas décadas em resposta as explicações baseadas em processos locais e em pequenas escalas que visavam entender as causas da distribuição das espécies (Gaston and Blackburn, 2000). Processos locais podem ser dominados por respostas das espécies a pressões seletivas específicas a essa área, o que pode não refletir a dinâmica mais ampla da biodiversidade em diferentes regiões. Portanto, uma abordagem macroecológica pode ser útil para identificar como diferentes mecanismos evolutivos moldaram a biodiversidade na Mata Atlântica ao longo do tempo, identificando radiações adaptativas, processos de especiação e extinção que moldaram a diversidade de espécies na região (Gaston and Blackburn, 2000). Além disso, em uma mesma área geográfica, onde as variações históricas e contemporâneas relacionadas à geologia e às condições climáticas atuam como modelo geográfico para todos os grupos biológicos, é possível detectar como as diferenças de história de vida dos grupos podem responder aos diferentes processos espaciais e temporais. Por exemplo, a distribuição da riqueza em anfíbios,

mamíferos terrestres e aves atinge o pico na porção central e costeira da Mata Atlântica, especialmente na região da Serra do Mar (Figueiredo et al., 2021). A maior riqueza de répteis também está na porção central, porém mais interna do continente (Figueiredo et al., 2021). Esses padrões espaciais podem também diferir em outras facetas da biodiversidade (e.g., funcional e filogenética), influenciadas por diferentes mecanismos evolutivos (e.g., Oliveira et al., 2016). Por essa complexidade, a Floresta Atlântica pode ser considerada um bom modelo para responder questões macroecológicas e macroevolutivas sobre os processos responsáveis por gerar a biodiversidade, e como diferentes grupos com capacidades fisiológicas distintas (endotérmicos e ectotérmicos).

As espécies diferem em suas capacidades fisiológicas, que por sua vez influenciam atributos de história de vida (Mammola et al., 2021). Por exemplo, o comportamento de ectotérmicos é diferente dos endotérmicos em temperaturas extremas devido às diferenças na capacidade de termorregulação (Rolland et al., 2018; Gouveia et al., 2019). Logo, isso poderia limitar a dispersão de linhagens de vertebrados de maneira diferente (Buckley et al., 2012). Avaliar apenas a riqueza nesse contexto pode mascarar padrões e processos na montagem das comunidades que estão mais relacionados ao nicho ecológico (Hutchinson, 1957) do que com a identidade taxonômica. Medidas da biodiversidade que forneçam uma medida mais acurada do espaço do nicho, tais como diversidade funcional (Weiher, 2011, Violle et al., 2007) podem ajudar a desvendar padrões de biodiversidade em larga escala. Por exemplo, em escala global, a riqueza de mamíferos está mais relacionada com variáveis climáticas, enquanto a diversidade funcional é mais afetada pelo tempo evolutivo (Oliveira et al., 2016). No entanto, estas questões na Floresta Atlântica têm sido geralmente investigadas utilizando riqueza de espécies, enquanto facetas alternativas da biodiversidade, tais como as diversidades funcional e filogenética, têm sido pouco exploradas (Violle et al., 2014). Logo, a Floresta Atlântica e sua complexa formação constituem um modelo de estudo importante para integrar diferentes facetas da biodiversidade (funcional e taxonômica), comparando como cada grupo biológico responde aos mecanismos ecológicos e evolutivos envolvidos na estruturação das comunidades.

Assim como os padrões de distribuição da biodiversidade, diversos fatores bióticos e abióticos podem determinar a taxa de variação fenotípica em escalas macroevolutivas (Harmon, 2017). O tamanho corporal, por exemplo, é um dos atributos mais importantes na sobrevivência de um organismo, com requisitos fisiológicos e

ecológicos podendo ser determinados por ele (Peters, 1986). Por essa razão, é esperado que endotérmicos e ectotérmicos possam apresentar diferenças na evolução desse atributo, uma vez que apresentam tolerâncias térmicas distintas (Buckley et al., 2012; Rolland et al., 2018), além de poder apresentar diferencas marcantes na distribuição desse atributo pela Floresta Atlântica devido a severidade climática presente na região (e.g. Millien et al., 2006). Enquanto essas questões têm sido avaliadas em escala global ou utilizando linhagens específicas, pouco se sabe como condições ambientais (e.g., sazonalidade de temperatura e precipitação) podem ter moldado o nicho climático de linhagens que ocorrem em biomas climaticamente complexos e influenciado taxas de evolução de atributos ecologicamente relevantes como o tamanho de corpo. Dessa forma, investigar como o tamanho do corpo evoluiu em espécies que ocorrem em uma mesma região como a Floresta Atlântica pode lancar luz sobre os processos envolvidos na diversificação de fenótipos e consequentemente na formação do seu pool de espécies. Semelhantemente, entender como a relação entre fenótipo e ambiente varia em escala macroevolutiva pode lançar luz sobre mecanismos que regulam a adaptação de linhagens aos seus hábitats (Harmon, 2017).

Portanto, o objetivo geral da tese é investigar os mecanismos macroevolutivos envolvidos na origem e diversidade dos vertebrados terrestres na Floresta Atlântica. Foram analisados dados de ecologia funcional, identidade taxonômica e relações filogenéticas para identificar fatores históricos e climáticos que influenciaram a diversificação e a evolução fenotípica dos vertebrados terrestres. Essa abordagem integrada nos permitirá compreender os processos ecológicos e evolutivos que moldaram a riqueza de espécies e as características dos vertebrados terrestres na Floresta Atlântica, contribuindo para o conhecimento e a conservação desse bioma diverso que abriga a maior parcela da população brasileira. Especificamente, esta tese está dividida em dois capítulos: 1) "Productivity interacts with diversification rate in determining species richness and trait diversity of tetrapods in a global hotspot" submetido para o periódico Global Ecology and Biogeography; e 2) "Effects of climatic niche on the rate of body size evolution in terrestrial vertebrates" em preparação para submissão ao periódico Evolution.

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# Productivity interacts with diversification rate in determining species richness and trait diversity of tetrapods in a global hotspot

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## Author's contribution statement

DBP and FRS conceived the study. MTM obtained all data, conducted data analysis, and wrote the first version of the manuscript. AS contributed to the final version of the text and gave input on figure presentation and data analysis.

## **Conflict of Interest Statement**

Authors declare they have no conflict of interest.

# Data Availability Statement

AlldataandassociatedRscriptsareavailableathttps://github.com/mmoroti/biogeo\_vertebratesandhttps://doi.org/10.6084/m9.figshare.19735552.v1

- 1 Productivity interacts with diversification rate in determining species richness and
- 2 trait diversity of tetrapods in a global hotspot
- 3 Short title: Terrestrial vertebrates drivers in the Atlantic Forest
- 4 Abstract
- 5 Aim

6 The effects of equilibrium and non-equilibrium dynamics on generating biodiversity patterns are generally investigated using species richness of a single biological group. 7 8 However, little is known about how these two classes of processes affect trait diversity 9 and richness of multiple taxa within the same geographical region. Here, we evaluated 10 which variables representing equilibrium (topography, climate, and primary productivity) and non-equilibrium (diversification rate and assemblage age) dynamics best explain 11 12 species richness and trait diversity of four vertebrate clades in a global hotspot. We also investigated whether their spatial patterns are congruent between groups. 13

- 14 Location
- 15 Atlantic Rainforest
- 16 Time period
- 17 Contemporary.
- 18 Major taxa studied
- 19 Terrestrial vertebrates.

#### 20 Methods

We tested whether trait diversity, species richness, diversification rate, and assemblage age between groups are spatially correlated. In addition, we estimated functional dispersion as a measure of trait diversity. After that, we used spatially explicit structural equation model based on Generalised Least Square models to test how species richness and trait diversity are influenced by variables representing equilibrium and nonequilibrium mechanisms.

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#### 29 **Results**

Diversification rate positively influenced both species richness and trait diversity, while productivity was both a direct and an indirect negative driver of species richness and trait diversity. Furthermore, we observed a consistent pattern of distribution of species richness between the two endotherm groups, as well as between endotherms and ectotherms, but we did not find this same pattern between the two ectotherm groups. In contrast, the spatial distribution of trait diversity, assemblage age, and diversification rate was distinct for each group.

#### 37 Main conclusions

Species richness and trait diversity have the same predictors across tetrapod lineages but show differente patterns in space. In contrast to a recent synthesis, diversity of younger clades was not affected by time-for-speciation, whereas diversification rate had a major positive influence on both trait diversity and species richness across tetrapods. Our results reinforce the need to evaluate multiple taxa when testing alternative explanations for diversity patterns.

Keywords: Brazilian Atlantic Forest, community assembly, equilibrium dynamics,
macroecology, Functional traits, non-equilibrium dynamics, time-for-speciation.

#### 46 Introduction

Geographical gradients of species richness and trait diversity are fundamentally 47 determined by speciation, extinction, dispersal and trait evolution (Ricklefs, 2004, 2006; 48 Wiens & Donoghue, 2004). These four fundamental processes are in turn influenced by 49 50 environmental, ecological, and evolutionary factors, such as the time for speciation, frequency of colonization of regions, or the biotic or abiotic selection dynamics of the 51 52 environment (reviewed in Li & Wiens, 2019). These factors are not mutually exclusive and may interact with each other to explain species distribution at broad scales. The 53 54 relative roles of macroevolutionary processes, such as time-for-speciation effect (Wiens & Donoghue, 2004) and environmental constraints in dictating diversity gradients are 55 56 often interpreted under the lens of equilibrium and non-equilibrium dynamics (Rhode, 57 2006; Harmon & Harrison, 2015; Rabosky & Hurlbert, 2015; Pontarp & Wiens, 2017).

Currently, there are two classes of mechanisms pointed out as opposing 58 explanations to explain patterns of biodiversity, known as equilibrium (review in Rabosky 59 & Hurlbert, 2015) and non-equilibrium dynamics (review in Harmon & Harrison, 2015). 60 Under equilibrium dynamics, features of the present-day environment, and specifically 61 the amount of resource availability (such as net primary productivity) or resource 62 63 diversity (such as environmental heterogeneity), are expected to be the strongest predictors of species and trait diversity (Rhode, 2006; Rabosky & Hurlbert, 2015). This 64 65 is because more resources, or kinds of resources, can facilitate differentiation of resourceuse between species, driving speciation, ecological divergence, and allowing more 66 67 species to colonize the area and coexist (Rhode, 2006). Also, climatically stable areas can allow the long-term persistence of species, reducing extinction rates and increasing 68 69 species richness (Rolland et al., 2018). Therefore, environmental conditions set ecological limits and opportunities for niche partitioning, dictating the maximum number of species 70 a region can support (Rhode, 2006; Rabosky & Hurlbert, 2015). As a result, speciation 71 72 and extinction dynamics would be controlled by factors like environmental carrying capacity and interspecific competition that could decouple species richness from 73 74 assemblage age or diversification rate (Rabosky, 2013). Yet regions do not only differ in 75 present-day measures of resource diversity and availability, they also differ in their geological and environmental histories, which may determine the presence or absence of 76 factors driving speciation, extinction, and colonization dynamics through deep-time 77 78 (Ricklefs, 2004; 2006). Under non-equilibrium dynamics, species and trait diversity

79 within regions should be more closely related to the historical dynamics that shape the accumulation of species and divergence of traits relative to other regions (Ricklefs, 2004; 80 2006; Harmon & Harrison 2015), such as a greater time-for-speciation (Wiens, 2011) or 81 a faster rate of lineage diversification or trait evolution, than to the present-day 82 environment. Consequently, species richness on a continental scale would be subjected 83 to stochastic dynamics that constantly change the limits of species and environmental 84 85 carrying capacity (Harmon & Harrison, 2015). While these two views are not necessarily exclusive (Pontarp & Wiens 2017), studies investigating their relative support in 86 87 explaining present-day biodiversity gradients have shown mixed results (e.g., Oliveira et al., 2016). Furthermore, most studies investigating the relative importance of these 88 89 dynamics focus on single clades and species richness (Li & Wiens, 2019). Consequently, the strength of equilibrium and non-equilibrium dynamics in explaining different facets 90 91 of biodiversity, such as variation in trait diversity and richness patterns, is unclear. Disentangling the relative importance of these two dynamics is one of the key questions 92 93 at the interface between macroecology and macroevolution (McGill et al., 2019), providing a multifaceted understanding of species diversity. 94

95 The relative importance of equilibrium vs. non-equilibrium dynamics may vary depending on ecological traits that determine resource use and physiological tolerances 96 to environmental variation, including metabolic rates, thermoregulatory modes, and 97 98 dispersal ability (Buckley et al., 2012). Hence, traits can better represent niche axes of species and how they respond to environmental variation (Violle et al., 2014). For 99 100 example, body size is directly related to metabolic capacity in ectotherms and endotherms (Peters, 1986). Likewise, diel activity affects foraging patterns in birds and mammals, and 101 is related to risk of desiccation in ectotherms, especially in amphibians (Kronfeld-Schor 102 103 et al., 2001). Ectotherms also typically have narrower environmental tolerances and more 104 selective habitat preferences, which leads to slower rates of climatic niche evolution, 105 smaller geographic ranges, and narrower latitudinal and elevational ranges (Rolland et 106 al., 2018). In addition, ectotherms generally have lower dispersal capacity (Buckley et al., 107 2012; Rolland et al., 2018), which in combination with narrow environmental 108 preferences, could mean that these species are less able to colonise new regions or novel 109 habitats through time. This could make ectotherms more susceptible to environmental 110 stochasticity, climate changes and more dependent on climatic refuges (Carnaval et al., 2009), which would increase rates of extinction though range collapse, or increase rates 111

of speciation through range fragmentation (Li & Wiens, 2022), leading to different 112 patterns of species distributions (e.g., Schweizer et al., 2014; MacDougall et al., 2019). 113 In ectotherms, activity time is limited by available environmental energy, while 114 115 endotherms can remain highly active if resource consumption meets their metabolic 116 demands (Buckley et al., 2012). As a result, endotherms require more resources than ectotherms to thermoregulate, particularly in colder environments (Clarke & Gaston, 117 118 2006). Therefore, it is expected that highly productive regions could harbour a greater number of endotherm species due to the greater supply of resources, as well as a great 119 120 diversity of ecological niches to be explored (Oliveira et al., 2016). Therefore, 121 fundamental metabolic and dispersal constraints between ectotherms and endotherms 122 may drive differences in resource use and niche partitioning under an equilibrium model 123 and rates of diversification and/or occupation times under a non-equilibrium model. Trait 124 diversity provides a better estimation of the variety of ecological strategies found in a region than species richness (Violle et al., 2014), while also allowing the comparison of 125 126 different groups based on a common element. As such, trait diversity could more efficiently capture the underlying processes related to the species' ecological niche. 127 128 Therefore, it is a useful approach to identify the causes of the dynamics that regulate the 129 variation of species biodiversity in space.

130 In a geographic area, where historical and contemporary variations related to 131 geology and climate conditions create a single region for all biological groups, it is possible to detect how species and trait diversities of ectotherms and endotherms are 132 133 determined by equilibrium and non-equilibrium dynamics. The Brazilian Atlantic Forest harbours an outstanding diversity and endemism of terrestrial vertebrate species 134 (Figueiredo et al., 2021). Due to its wide latitudinal (from 5 to 33° S) and longitudinal 135 (from 35 to 55° W) ranges, the Atlantic Forest is a heterogeneous environment that varies 136 137 in climatic gradients, such as annual rainfall (from 800 to 4,000 mm), mean annual 138 temperature (from 15 to 25 °C), and topography (from sea level to 2,200 m a.s.l.). Species richness in amphibians, terrestrial mammals, and birds peak in the highly productive 139 140 central and coastal portion of the Atlantic Forest, especially in the Serra do Mar mountain 141 range (Vasconcelos et al., 2019; Figueiredo et al., 2021). It is possible that this disjunct distribution is related to differences related to the regional microclimate that this region 142 143 has. While the Serra do Mar is a more humid region, the region in contact with the Cerrado 144 is drier and hotter throughout the year (Figueiredo et al., 2021). This suggests that

environmental productivity could be a major determinant of species diversity. However, 145 while reptiles also present greater richness in this region, they are closer to the region of 146 147 contact with dry savannas in the inner part of the continent (Figueiredo et al., 2021). In 148 addition to present-day climatic differences, historical climate change was important in 149 shaping species distributions in a geographical region. For example, phylogeographic studies have found high genetic diversity in frogs (Carnaval et al., 2009), birds and lizards 150 151 in the central region of the Atlantic Forest (reviewed in Peres et al., 2020), which was more climatically stable during the Pleistocene. Further, there is evidence of recent 152 153 colonization and population expansion in areas that were less stable in the past, such as 154 the southern portion (reviewed in Peres et al., 2020), indicating that past climate 155 conditions might be associated with species geographic range expansion and extinction, thereby regulating the size and composition of regional species pools (Benício et al., 156 157 2021). Thus, its exceptional biodiversity, marked climatic gradients and dynamic climatic history, make the Atlantic Forest biome an excellent model for understanding the spatial 158 159 distribution patterns of multiple diversity components for different taxa within the same 160 geographical region. With this, add new evidence to the dynamics classified as equilibrium vs. non-equilibrium. 161

162 Here, we evaluated the relative importance and spatial congruence of variables representing equilibrium and non-equilibrium dynamics explaining variation in species 163 164 richness and trait diversity of terrestrial vertebrates in the Atlantic Forest. Specifically, 165 we tested (1) how species richness and trait diversity are related to assemblage age, 166 diversification rate, productivity, climate, and topographical heterogeneity (Figure 1). 167 Under an equilibrium model, we predict that species or trait diversity will be positively, and directly, related to climate, productivity, or topographical heterogeneity, as these 168 169 factors set ecological limits on biodiversity (green lines in Figure 1a; Rabosky & Hurlbert, 170 2015). Areas with more stable climates have higher carrying capacity that supports more 171 species (Rabosky & Hurlbert, 2015). Climatic variables determine range limits in 172 vertebrates (e.g., Wiens et al., 2006; Botero et al., 2014), and potentially influence large-173 scale species distributions (Hua & Wiens, 2013). High productivity and resource availability can sustain more individuals, more viable populations, and more species (i.e., 174 the "more individuals" hypothesis; Brown, 1981). Similarly, areas with greater 175 176 elevational heterogeneity have greater habitat complexity, at the same time which 177 imposes barriers to dispersal, creating more opportunities for local adaptation and

speciation (Rhode, 2006; McGill et al., 2019). Under a non-equilibrium model, we predict 178 that species and trait diversity will be directly and positively related to diversification 179 180 rates or assemblage age (blue lines in Figure 1b; Harmon & Harrison, 2015). 181 Alternatively, topography, productivity or climate could indirectly drive species and trait 182 diversity via their effect on diversification rates or assemblage age (dashed green and blue lines in Figure 1c). This could be because topography and climate can shape rates of 183 184 speciation through allopatric divergence or increase extinction rates through climate change. Furthermore, as different biomes have different ages (Ricklefs, 2006; Jetz & Fine, 185 186 2012; Pontarp & Wiens, 2017), and different regions have acted as climatic refugia through time (Carnaval et al., 2009), these variables might also reflect the age and stability 187 188 of assemblages. This means that areas with lower extinction rates and/or higher rates of 189 speciation would be more species rich and have greater trait diversity, or that older 190 communities would allow species to accumulate more trait differences over time (Wiens & Donoghue, 2004; Wiens, 2011) and diversify in the same area. In addition, we tested 191 192 (2) whether the distribution of vertebrate communities is spatially congruent in terms of 193 diversification rate, assemblage age, trait diversity, and species richness. Considering that 194 amphibians and reptiles have narrower physiological requirements and lower dispersal ability than endotherms, which are usually better trackers of ecological limits than 195 196 ectotherms (e.g., Araújo & Pearson, 2005; Rolland et al., 2018), we expect more spatial 197 similarity between ectotherms than between endotherms and ectotherms.



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Figure 1. Alternative hypotheses tested in this paper referring to each class of dynamics. 199 The pathway connecting productivity to species richness represents the more-individuals 200 while 201 hypothesis, the pathways connecting topographic 202 heterogeneity/climate/productivity to trait diversity reflect ecological niche partitioning. Blue colour indicates non-equilibrium mechanisms, while green represents equilibrium 203 204 mechanisms. Climate is a statistical composite variable.

#### 205 Material and Methods

#### 206 Species geographical data

207 We built a 10 x 10 km grid with 0.5° cells overlaid on the Atlantic Forest consensus extent (Muylaert et al., 2018) in QGIS software (QGIS Development Team, 2019). We excluded 208 209 cells with less than 50% continental coverage, resulting in 424 cells. We obtained extentof-occurrence polygons of vertebrates. We opted for this treshhold to avoid 210 211 underestimating cells that have little continental shelf surface, leading to underestimated 212 coastal communities. In addittion, although these polygons are scale dependent (Hurlbert & White, 2005) and include commission errors, recent studies (e.g., da Silva et al., 2016) 213 have found congruence between point occurrence data and range maps for 10 x 10 Km 214 215 scales for amphibians in the Atlantic Forest, whose distribution is less known than other 216 taxa. For amphibians, we used data from IUCN (2021), complemented by shapefiles from 217 (Vasconcelos et al., 2019). For squamates, we used data from Roll et al (2017). For birds, 218 we used data from BirdLife International (2015). For terrestrial mammals, we used data from IUCN (2021). We obtained presence-absence matrices separately for each group by 219 overlapping polygons on the grid using the *letsR* R package (Vilela & Villalobos, 2015), 220 considering that at least 50% of the polygon covered the cell. We defined our Atlantic 221 222 Forest species pool as the result of overlapping polygons in the grids, keeping only native (excluding exotic) and non-migratory (not temporary visitors) species. Finally, our 223 presence-absence matrices contained 518 species of frogs, 402 squamates, 815 birds, and 224 236 mammals. We applied the same species nomenclature of the phylogeny to the trait 225 226 data and polygons for each group.

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#### 228 Trait data

We used three response traits to describe the trait space of assemblages at large spatial scales: body mass for endotherms or body size for ectotherms, diel activity, and habitat (Table S1). We chose these traits because they reflect different niche dimensions, in addition to being directly related to climatic gradients (e.g., Oliveira et al., 2016; Vasconcelos et al., 2019).

We obtained trait data from the following sources: i) amphiBIO (Oliveira et al., 2017) and Vasconcelos *et al.* (2019) for frogs; ii) Marques et al (2019) for squamates; iii) Elton Traits (Wilman et al., 2014) for birds and mammals, and complement with
PanTHERIA (Jones et al., 2009) and Phylacine (Faurby et al., 2018) for mammals. We
also complemented them with the literature for 9% of frogs, 22% of squamates, and 10%
of mammals (see Moroti et al., 2022). However, 84 frog (~17%), 135 squamate (~34%),
107 bird (~13%), and 41 mammal species (~17%) had missing trait values. Thus, they
were excluded from the analyses, resulting in a trait database with 434, 267, 708, and 195
species, respectively.

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### 244 Phylogeny

We used the maximum clade credibility tree (MCCT) for amphibians containing 245 246 7,239 species of Jetz & Pyron (2018). For squamates, we used the fully-sampled consensus tree with 9,754 species of Tonini et al (2016). For birds, we used the tree 247 proposed by Jetz et al (2012), with 9,993 bird species. For mammals, we used the fully-248 249 sampled tree of Upham et al (2019). Birds are the only group to which a consensus tree 250 is not provided. Thus, following Rubolini et al (2015), we generated 10,000 posterior 251 trees to our species pool based on the 'Hackett' backbone (Jetz et al., 2012). Then, we 252 built a MCCT with the *DendroPy* and *SumTrees* packages in Python.

253 We pruned the species to which we had occurrence and trait data from the respective phylogenies using the R package *picante* (Kembel et al., 2010). Yet, 24 frog 254 255 (~3%), 70 birds (~10%), and 28 mammals (~14%) species had not been sampled in the phylogenies and were removed from analysis. Thus, our final dataset contained 421 frog, 256 257 261 squamates, 638 birds, and 167 non-flying mammal species. Our final dataset 258 corresponds to ~60% of frog richness (707 species), ~53% of reptiles (492 species), 62.2% of birds (1,025 species), and ~64% of mammals (262 species) known to occur in 259 the Atlantic Forest (Figueiredo et al., 2021). 260

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#### 262 **Trait Diversity**

To describe the community trait space, we calculated Functional Dispersion (FDis; Laliberté & Legendre, 2010), which here we call trait diversity, using the trait distance and presence-absence matrices in the FD package (Laliberté et al., 2014). Simulation studies (Kuebbing et al., 2017; McPherson et al., 2018) have shown that FDis

has a good performance to detect community assembly mechanisms and is also less prone 267 to outliers. To calculate the trait dissimilarity matrix, we used the Gower index that allows 268 for mixed data (Pavoine et al., 2009) in the R package *ade4* (Dray & Dufour, 2007). In 269 270 addittion, we chose this metric because it can identify hotspots regions of trait divergence. 271 Specifically, FDis is the average Euclidean distance of species to the centroid of the trait volume each grid (Laliberté & Legendre, 2010). Therefore, the metric measures how 272 273 dispersed the community trait space is (Mammola et al., 2021), independently from 274 species richness (Fig. S6).

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#### 5 Variables related to equilibrium dynamics

277 We used three environmental variables that reflect the amount and variety of resources available to represent equilibrium dynamics: i) Topographical heterogeneity; 278 We obtained the coefficient of variation of elevation at 1 km from Amatulli et al (2018); 279 280 ii) Productivity; For each grid cell, we calculated the mean of potential net primary 281 productivity (NPP) obtained from the CHELSA dataset (Brun et al., 2022). NPP 282 represents the amount of carbon dioxide that is removed from the atmosphere, so it is a proxy to indicate the amount of biomass that is produced, a key function of the ecosystem 283 284 (Schimel, 1995); and iii) Climate; We firstly choose nine bioclimatic variables from CHELSA dataset (Brun et al., 2022): Mean annual air temperature (BIO1), Mean Diurnal 285 286 Temperature Range (BIO2), Isothermality (BIO3), Temperature Seasonality (BIO4), Temperature Annual Range (BIO7), Mean Temperature of Coldest Quarter (BIO11), 287 Annual precipitation amount (BIO12), Precipitation Seasonality (BIO15), and 288 Precipitation of Driest Quarter (BIO17). After testing for multicollinearity, we excluded 289 BIO1 and BIO11 that had Variation Inflation Factor >10 from further analysis. The 290 291 climatic and NPP variables obtained from CHELSA correspond to the average of their 292 collection period: 1981-2010.

To reduce model complexity, BIO3, BIO4, BIO7, BIO12, and BIO15 were further combined into a statistical composite variable (Grace & Bollen, 2008; Grace et al., 2010) called 'climate' created separately for each group. In all groups, the variable with the highest loading was BIO3 (Isothermality). Thus, positive coefficients for 'climate' represent a less stable climate, that is, with more pronounced daily temperature oscillations in relation to the annual temperature range. This analysis was performed in the R software (R Core Team, 2020). 300

#### 301

#### Variables related to non-equilibrium dynamics

302 We calculated two predictor variables to represent non-equilibrium dynamics separately for each group: i) Assemblage age as a measure of the evolutionary time of 303 304 each community (Van Dijk et al., 2021) – This metric (see supplementary methods) is 305 based on an ancestral area estimation using the BioGeoBEARS R package (Matzke, 306 2013). This method includes the diversification and dispersal of species on a regional scale, thus, we performed biogeographical regionalization in the set of species that make 307 up the Atlantic Forest. Specifically, we calculate the age of each assemblage as the 308 average age at which the ancestors of each current species arrived and settled in the region 309 310 of a given cell. As such, assemblage age could be used to represent non-equilibrium 311 mechanisms if higher species and trait diversity accumulate in older areas (time-for-312 speciation effect, Wiens & Donoghue, 2004), which would indicate a longer evolutionary 313 time to overcome niche conservatism (e.g., Oliveira et al., 2016; Hua & Wiens, 2013; Pontarp & Wiens, 2017); ii) diversification rates. We calculated a revised version of the 314 Jetz et al (2012) tip-level diversification rate (DR) in the R package Herodotools 315 (Nakamura et al., 2023). Subsequently, we took the harmonic mean of the DR for each 316 317 grid cell. Rapidly diversifying lineages have high DR values (Jetz et al., 2012).

There is no consensus on how accurate speciation rate estimated using different 318 319 tip metrics or diversification models is (Velasco & Pinto-Ledezma, 2022). These may potentially bias net diversification rate towards high rates of speciation, while down-320 321 weighting extinction (Title & Rabosky 2019). However, DR is a suitable metric to test 322 our hypotheses, as it is a species level metric, incorporates the number of split events, and 323 distances between nodes from the root to tips of a phylogeny, while giving greater weight 324 to branches closer to the present (Jetz et al., 2012; Nakamura et al., 2023). However, the metric proposed by Jetz et al. (2012) does not consider the dynamics of local colonization 325 326 and assumes that diversification occurs only at the species' place of origin. This may not fully capture diversification processes, especially in highly dispersive groups, such as 327 328 birds, which are part of our focus. In these groups, historical migration can play a significant role in regional diversity. Therefore, we are using a revised metric that takes 329 330 this dynamic into account (Nakamura et al., 2023).

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#### 332 Data Analysis

Missing trait data is expected in global databases and can lead to bias in functional 333 metrics (Johnson et al., 2021). To check how missing data could alter our conclusions, 334 335 we first calculated Pearson's correlation between harmonized (reduced set of species with 336 all polygons, traits, and phylogeny) and the full dataset (species with polygons and phylogeny, but with missing traits). We also calculated phylogenetic signal of missing 337 338 traits using Fritz' D (Fritz & Purvis, 2010) in the R package SensiPhy (Paterno et al., 339 2018). Species richness estimated using both datasets were highly correlated (>0.8) for 340 all groups (Figure S7; Table S2). However, assemblage age differed considerably between datasets (Figure S8), which indicates that the conclusions may change if we do 341 342 not use the complete dataset. As diversification rate also depends on ancestral range 343 reconstruction, we used the complete dataset for calculating DR and assemblage age. Missingness pattern in most traits was random or slightly clumped in all groups (Table 344 S3, Figures S9-12), indicating that it likely has little impact on FDis estimation. In sum, 345 species richness and FDis were calculated using the harmonized dataset (421 frog, 261 346 347 squamates, 638 birds, and 167 non-flying mammal species), while DR and assemblage 348 age were calculated using the full dataset (487 frog, 382 squamates, 695 birds, and 196 349 non-flying mammal species). To test whether there is spatial congruence in species 350 richness, FDis, assemblage age, and DR between the four groups, we used Dutilleul's modified *t*-test (Dutilleul, 1993) in the SpatialPack R package (Vallejos et al., 2020). 351

To explore the direct and indirect relationships between predictor variables and species richness and FDis, we built spatially-explicit structural equation models (SEM). We normalized predictor variables using the R package *vegan* (Oksanen et al., 2022) before entering in the analysis. We first fit four Generalized Least Squares (GLS) models to test how each predictor variable (climatic, productivity, topographical heterogeneity, diversification rate, and assemblage age) was related to FDis and species richness separately for each group (Figure 1), namely:

359 (1) FDis ~ climate + elevation + productivity + DR + Assemblage age

360 (2) Richness ~ climate + elevation + productivity + DR + Assemblage age

361 (3) Assemblage age ~ climate + elevation + productivity

362 (4) DR ~ Assemblage age + climate + elevation + productivity.

We tested five correlation structures (exponential, Gaussian, linear, ratio, and 363 364 spherical) in GLS models. This procedure resulted in 20 models for each group (Table 365 S5). Then, we selected the best-fit model based on the Akaike information criterion (AIC), on the spatial correlogram, and visual diagnosis of residuals (Figures S13-17). 366 367 This analysis was performed in the *nlme* R package (Pinheiro et al., 2017). Subsequently, we included the four best-fitting GLS for each group (in the formulas above) in a SEM 368 369 using the *piecewiseSEM* R package (Lefcheck, 2016). Results of the SEM models represent standardized beta coefficients. 370

371

#### 372 **Results**

#### 373 Spatial distribution

374 We found a highly positive spatial congruence between the richness of the two groups of endotherms in the central portion of the Atlantic Forest, specifically in the Serra 375 376 do Mar mountain range (Figure 2). Likewise, there was a positive and significant 377 congruence between frog richness and the two groups of endotherms (Table 1), notably 378 in the central portion (Figure 2). We also observed a positive congruence of the richness 379 of squamates and mammals (Table 1), but slightly weaker and not significant with birds. 380 However, spatial congruence, although positive, was not significant between the two groups of ectotherms, with the highest richness of squamates more concentrated in the 381 382 interior region of the continent and that of frogs in the coastal region of the Atlantic Forest (Figure 2), specifically from the southeast to the northeast (Table 1). 383

We found a significant negative congruence for trait diversity between squamates and birds (Table 1). While squamates had greater trait diversity in the northern portion, trait diversity of birds was greater in the southern portion of the Atlantic Forest. The greatest trait diversity of frogs is found in the coastal region, coincident with its species richness (Figure S6). However, the greatest trait diversity for mammals is concentrated in the transition with the Brazilian Cerrado, in the innermost portion of the continent, and in the central region.

We found idiosyncratic spatial patterns for diversification rate and assemblage age for all groups (Figure 2), with no significant congruence (Table 1). The lowest diversification rates for frogs were in the north, and the highest rates in the south and in the central portion in the transition with the Cerrado. A similar pattern is observed for squamates, with higher diversification rates concentrated in the southern portion and lower rates in the north. The highest diversification rates for birds were in the transition with the Cerrado and Serra do Mar, while that for mammals were in the southern portion. The oldest communities of frogs and squamates were concentrated in the central region of the Atlantic Forest, while those for birds were also in the central region and in the transition with the Cerrado. Interestingly, the oldest communities of mammals are scattered throughout the Atlantic Forest.

402

**Table 1.** Pairwise spatial correlation of Richness, Trait diversity, Diversification Rate,
and Assemblage age between tetrapod clades in the Atlantic Forest. Values correspond to

405 Dutilleul's modified *t*-test; bold face represents P values < 0.06.

Richness	Frogs	Squamates	Birds
Squamates	0.387	-	-
Birds	0.655	0.549	-
Mammals	0.700	0.703	0.776
Trait diversity			
Squamates	0.196	-	-
Birds	-0.414	-0.701	-
Mammals	-0.162	0.241	-0.441
Diversification rate			
Squamates	0.657	-	-
Birds	0.050	-0.296	-
Mammals	0.105	0.431	-0.424
Assemblage age			
Squamates	0.169	-	-
Birds	0.072	0.251	-
Mammals	0.131	0.097	-0.163

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Figure 2. Geographical patterns of trait diversity (FDis), species richness, diversification
rate (DR), and mean assemblage age, in Millions of Years (AA) of frogs, squamates,
birds, and non-flying mammals of the Atlantic Forest. Silhouettes are CC-BY from
PhyloPic.

#### 414 Structural equation models

415 SEMs for birds (Fisher's C= 0.527, P = 0.768) and frogs (Fisher's C= 2.194, P =0.334) had a good fit to the data, but not for squamates (Fisher's C= 53.076, P < 0.001) 416 and mammals (Fisher's C= 106.178, P < 0.001). This is probably due to missing paths not 417 418 relevant to our hypothesis or the linear relationship between FDis and species richness in these two groups (Figure S6), instead of erroneous model specification (see also Hertzog 419 420 2019). We found that equilibrium (climate, productivity, and topographic heterogeneity) 421 and non-equilibrium (diversification rate) predictors interacted to explain trait diversity 422 and richness in Atlantic Forest tetrapods. Productivity had a negative effect on the 423 richness of all groups, as well as on trait diversity of frogs, squamates, and mammals. In 424 contrast, diversification rate was strongly, positively associated with richness and trait diversity across all groups. Climate had a direct and positive influence on species richness 425 only for birds. But interestingly, it had an indirect negative effect on species richness of 426 mammals and frogs via diversification rate. Our model better explained the variation in 427 428 trait diversity, than species richness (Figure 3). Variation in diversification rate was better 429 explained by equilibrium predictors, than assemblage age (Figure 3).

Diversification rate had a strong and positive influence on trait diversity of frogs, squamates, and birds (Figure 3). Conversely, productivity was negatively related with trait diversity ( $\beta = -0.567$ , P < 0.001) and richness ( $\beta = -0.682$ , P < 0.001) of mammals. Species richness of frogs, squamates, and mammals had a more negative influence of productivity than a positive influence of diversification rates (Figure 3). In birds, both species richness and trait diversity were better explained by diversification rate, than equilibrium predictors (Figure 3).

Assemblage age was positively influenced by climate in ectotherms (frogs  $\beta$ = 0.591, squamates  $\beta$ = 0.388, *P* < 0.001), but not in endotherms. Climate also had a negative influence on trait diversity of frogs and mammals, and a lesser one in birds. In addition, climate negatively impacted frog and mammal diversification rates, while topographic heterogeneity positively affected diversification rate of all groups (Figure 3). Surprisingly, assemblage age had a negative, direct though small influence on species richness and trait diversity, yet significant for trait diversity of mammals and frogs.


444

**Figure 3.** Path diagrams showing the standardized coefficients ( $\beta$ ) of models testing the 445 influence of variables representing equilibrium (Climate, productivity, topographic 446 heterogeneity) and non-equilibrium (Assemblage age and Diversification Rate) dynamics 447 on species richness and trait diversity of ectotherms: Frogs and squamates; and 448 endotherms: birds and terrestrial mammals of the Atlantic Forest. Dashed arrows indicate 449 450 indirect effects of equilibrium predictors on non-equilibrium predictors. Arrow thickness indicates effect size according to standardized coefficients ( $\beta$ ). The number of asterisks 451 indicate level of significance (\* = P < 0.05; \*\* = P < 0.005; and \*\*\* P < 0.001). 452 453 Silhouettes are CC-BY from PhyloPic.

#### 454 Discussion

455 Here, we use a multifaceted approach including species richness and trait diversity of terrestrial vertebrates to add new lines of evidence about the ecological and 456 457 evolutionary mechanisms involved in biodiversity patterns in the Atlantic Forest hotspot. 458 One common pattern is that the central region of the biome had the highest species 459 richness for all clades. In contrast, trait diversity had idiosyncratic spatial patterns among 460 taxa, as well as the assemblage age and diversification rate (DR). Overall, we found that 461 equilibrium predictors (Productivity for all groups, and climate for frogs and mammals) 462 influenced species and trait diversity only indirectly via non-equilibrium predictors (DR). Specifically, Diversification rate was a strong positive driver of species richness and trait 463 464 diversity, while productivity was both an indirect and direct negative driver of richness and trait diversity. Highly productive areas had lower species richness of amphibians, 465 squamates, and mammals. Importantly, our results challenge the current view (Pontarp & 466 Wiens, 2017; Li & Wiens, 2019) that diversification rate dominates over longer time 467 scales, while colonization time (assemblage age) dominates over shorter time scales. 468

469 While the spatial pattern of species richness was congruent among most groups, hotspots of trait diversity had an idiosyncratic distribution. This pattern means that these 470 471 two variables are decoupled in space among groups in the Atlantic Forest. However, 472 against our prior expectation, we did not find higher spatial similarity between 473 ectotherms, but a highly positive congruence between frogs and endotherms. Yet, both 474 species and trait diversity had the same predictors across tetrapod lineages. Although 475 richness of all groups was concentrated in the central portion of the Atlantic Forest, areas with greatest FDis in birds and mammals were in ecotones. Ecotones might support high 476 477 trait diversity because they typically have high habitat heterogeneity, which permits a high degree of niche partitioning and co-occurrence of functionally distinct species 478 479 (Smith et al., 2001). Higher trait disparity in ecotones might also be due to higher rates of 480 trait evolution (Luza et al., 2021), which would allow species to occupy distinct regions of the trait space. Ecotones also harbour high degrees of endemism and uniquely adapted 481 482 species (Kark et al., 2007). One reason why ecotone harbour high biodiversity is that they 483 drive faster rates of ecological speciation, either along environmental gradients or among habitat patches due to higher heterogeneity (Schilthuizen, 2000). 484

485 Diversification rate was a common driver of both trait diversity and species 486 richness across tetrapods in the Atlantic Forest, with little direct impact of assemblage

age. This result reinforces the role of non-equilibrium dynamics in determining 487 488 biodiversity patterns at continental scales (Harmon & Harrison, 2015. It also challenges the systematic review (Pontarp & Wiens, 2017; Li & Wiens, 2019) that diversification 489 490 rate dominates over longer time scales, while colonization time (assemblage age) 491 dominates over shorter time scales. A mechanistic simulation (Pontarp & Wiens, 2017) 492 and vote-counting review (Li & Wiens, 2019) argue that the richness of younger clades 493 are more likely to be dominated by colonization time, whereas that of older clades should be dominated by diversification rate. Here, we found that species and trait diversity of 494 495 younger (mammals and birds) and older (frogs and squamates) clades were equally influenced by diversification rate. This result also means that both species and trait 496 497 diversity are coupled among groups at the regional scale. Our measure of diversification 498 rate as well as assemblage age (colonization time) took into account the age of arrival of 499 ancestors in the region in which communities are (Van Dijk et al., 2021; Nakamura et al., 2023). 500

501 Productivity had a both direct and indirect negative effect on species and trait 502 diversity across tetrapods. This result supports that equilibrium dynamics are playing a 503 major, yet indirect role. More specifically, the relationship between productivity and 504 species richness is usually hump-shaped or positive (Mittlebach et al., 2001), with no 505 consensus if it is scale dependent. However, a negative relationship between species and 506 trait diversity and productivity might occur under high anthropogenic disturbance 507 (Laliberté et al., 2013). Our NPP data describes biomass variation in a relatively recent time frame (1981-2010). This temporal scale is enough to capture the effects of forest 508 509 fragmentation and biotic homogenization that is promoting the collapse of species and 510 trait diversity in the Atlantic Forest. Under these circumstances, highly productive regions might favour widespread species (winners) with certain traits that confer competitive 511 512 dominance (Keddy & Laughlin, 2021), resulting in lower functional disparity and species 513 richness (Newbold et al., 2018).

514 Climate stability had only a weak positive effect on species richness, but a strong 515 and negative direct effect on trait diversity. This means that communities with higher 516 daily temperature variation in relation to annual variation have less dispersed trait spaces, 517 but potentially more species. This pattern is consistent with the fact that harsh climates 518 can be a strong 'environmental filter', constraining the trait space (Keddy & Laughlin, 519 2021) and promoting functional redundancy. Topographic heterogeneity played a direct 520 and positive role in the diversification of all groups, with varying strengths. The same pattern has also been found for amphibians at global and realm scales (García-Rodríguez 521 et al., 2021), indicating that mountains might be acting as speciation pumps in those 522 groups (Graham et al., 2014; Antonelli et al., 2018). The central Atlantic Forest 523 524 encompasses the Serra do Mar range that experienced climatic stability during the Last Glacial Maximum (Carnaval et al., 2009). The high diversification rates in mountainous 525 526 areas are likely driven by allopatric and ecological speciation, decreasing extinction rates, and constraining species dispersal (Rahbek et al., 2019; Benício et al., 2021). 527

528 Furthermore, we observed a strong and positive relationship between climate and assemblage age in ectotherms, meaning that communities that were colonized earlier have 529 530 more stable climates. Amphibians and squamates have narrow physiological 531 requirements related to thermal metabolism that impact locomotor performance (Araújo & Pearson, 2005; Buckley et al., 2012). As a result, the climate of the central region of 532 the Atlantic Forest might have played a key role in the concentration of older communities 533 534 of ectotherms. Additionally, it adds a more nuanced view about the duality between 535 equilibrium and non-equilibrium dynamics, suggesting that both might be relevant to explain continental-scale biodiversity patterns via direct and indirect effects. 536

537 We found a significant and positive relationship between trait diversity and DR 538 for all groups. The strong positive association between trait diversity and DR suggests 539 that functionally diverse ecotone regions were formed from higher diversification rates 540 that allowed the accumulation of functionally distinct lineages instead of more time 541 (assemblage age) for the disparification of traits to evolve in situ and ex situ. Several 542 studies have suggested a positive relationship between phenotypic evolution and lineage 543 diversification in amphibians (Rabosky & Adams, 2012) and ray-finned fish (Rabosky et al., 2013), but not in birds (Crouch & Tobias, 2022; but see Barreto et al., 2023). Our 544 545 results contribute to this discussion by adding a regional and comparative perspective, given that we found a high influence of DR on trait divergence for all groups occurring 546 in the same geographical region. 547

548 Climate negatively influenced the diversification rate of frogs and mammals. 549 Meaning that climatically more stable communities have higher DR. The evolutionary 550 speed hypothesis predicts that higher temperatures support faster speciation rates (Rohde, 551 2006). Consequently, speciation rates of ectotherms, which regulate their metabolism 552 based on environmental temperatures, should depend more heavily on climate than endotherms (Gillooly & Allen, 2007). Here, diversification rates of an old and a young
clade have been influenced by climatic stability, pointing again to the indirect role of
equilibrium predictors on non-equilibrium dynamics. Higher diversification rates have
been found to be associated with stable climates in some groups (Hua & Wiens, 2013),
potentially caused by high rates of climatic niche evolution (Kozak & Wiens, 2010).
Climatic niche conservatism might mediate the relationship between diversification and
stable climates (Pyron et al., 2015).

560 Overall, we found that equilibrium and non-equilibrium dynamics together played 561 a role to explain species and trait diversity of ectotherms and endotherms in the Atlantic 562 Forest. This is in line with the idea that continental communities are temporary sets of 563 species in ever-changing environments, in which their assembly over time more likely takes place without defined ecological limits at continental scales (Harmon & Harrison, 564 2015; Oliveira et al., 2016), with equilibrium forces playing an indirect and secondary 565 role. Our results suggest that high diversification rates are important to generate trait 566 567 diversity in Atlantic Forest tetrapods. Areas such as ecotones and climatically stable areas 568 with high elevation heterogeneity, such as mountainous regions of the central Atlantic Forest, may be promoting faster rates of ecological speciation along environmental 569 570 gradients or between patches of habitat due to the greater heterogeneity in these environments. Likewise, in all groups the species richness is concentrated in the central 571 572 portion of the Atlantic Forest. However, we found idiosyncratic and distinct patterns for each group regarding diversification rate, community age, and trait diversity. 573 574 Furthermore, our study innovated by mapping the age of communities and diversification 575 rates of terrestrial vertebrates in the Atlantic Forest. This approach allowed us to identify biodiversity hotspots that go beyond species richness, incorporating other facets of 576 577 functional and phylogenetic diversity. These findings have the potential to more 578 effectively guide conservation efforts and prioritize critical areas for the preservation of 579 this ecosystem.

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#### Supplementary material

# Productivity interacts with diversification rate in determining species richness and trait diversity of tetrapods in a global hotspot

#### **Supplementary Methods**

To obtain the metrics of diversification rate (Jetz et al., 2012) and assemblage age (Van Dijk et al., 2021), we used the *Herodotools* R package (Nakamura et al., 2022). Community-level metrics available in *Herodotools* incorporate ancestral range reconstruction and regionalization proposals, allowing one to consider historical biogeography and species dispersal/colonization time. First, we performed a biogeographical regionalization analysis of the Atlantic Forest species pool based on a phylogenetic fuzzy matrix coupled to a Discriminant Principal Component Analysis based on non-hierarchical k-means clustering in the R package evoregions. Evoregions calculates areas corresponding to centers of lineage-independent diversification, reflecting the historical radiation of unique clades (Maestri & Duarte, 2020). The evoregion function will run an automatic procedure based on the "elbow" method to define the maximum number of clusters. This procedure returned four distinct regions for amphibians and squamates, five for birds, and six for mammals in the Brazilian Atlantic Forest. However, community attribution to each evoregion is not equal across cells. Therefore, an affiliation value is assigned to each assemblage, where low affiliation values correspond to areas with high turnover, that is, areas with multiple colonization events by different lineages (Figure 1; Maestri & Duarte, 2020).

After classifying areas in each of the evoregions in a Phyllip file, we fitted the DEC and DEC+J models to the data using the R package BioGeoBEARS. The DEC and DEC+J models implicitly assume that the observed tree is complete, and that lineage-level speciation and extinction are independent of the process of distributional evolution. The only difference is that DEC+J incorporates founder event speciation (+J). After that, we compare model fit using  $\otimes$ AICc. The DEC+J model performed better in all vertebrate groups (Table S3). Given we have data on species occurrence, a biogeographical regionalization proposal, and the ancestral range reconstruction, we can use this information to calculate metrics. The 'calc\_age\_arrival' function calculates the mean age of each assemblage. After that, we calculated the harmonic mean of DR (DR; Jetz et al.,

2012) for each grid cell, using the inverse of the Equal Splits measure (Redding & Mooers, 2006).

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## Figures

25





Squamata



Non-volant mammals





**Fig S1.** Frequency distribution of species richness count in the grids for the four clades of terrestrial vertebrates.

**Fig S2.** Histograms showing the frequency distribution for the functional dispersion values (FDis) of the four clades of terrestrial vertebrates.



**Fig S3.** Histogram showing the frequency distribution of assemblage age (evolutionary time) of the four clades of terrestrial vertebrates.



**Fig. S4.** Histograms showing the frequency distribution of diversification rate of the four clades of terrestrial vertebrates



**Fig S5.** Ordination diagrams showing the first two axes of Principal Coordinates Analyses (PCoA) conducted on the trait distance matrices describing the trait space of the four clades of terrestrial vertebrates (A: Anura; B: Squamates; C: Birds; D: Mammals).



**Fig S6.** Scatter plots showing the relationship (loess curve) between richness and functional dispersion (FDis) of terrestrial vertebrates.



**Fig S7.** Pearson's correlation between species richness estimated with the harmonized (only species with traits) and the complete dataset.





**Fig S8.** Pearson's correlation between assemblage age estimated with the harmonized (only species with traits) and the complete dataset.



**Fig S9.** Distribution of missing traits (gray) in the amphibian phylogeny. Body size (yellow), circadian activity (red), and habitat (green). See Table S3 for phylogenetic signal metric. Missingness in habitat is slightly clumped, while body size and circadian activity data are missing mostly at random, with very few species missing all three traits.



**Fig S10.** Distribution of missing traits (gray) in the squamate phylogeny. Body size (yellow), circadian activity (red), and habitat (green). See Table S3 for phylogenetic signal metric. Missingness in circadian activity is slightly clumped, while body size and habitat data are missing mostly at random, with amphisbaenids lacking most data.



**Fig S11.** Distribution of missing traits (gray) in the bird phylogeny. Body size (yellow), circadian activity (red), and habitat (green). See Table S3 for phylogenetic signal metric. Missingness in body size and circadian activity are only slightly clumped. Habitat data are complete for all species.



**Fig S12.** Distribution of missing traits (gray) in the mammal phylogeny. Body size (yellow), circadian activity (red), and habitat (green). See Table S3 for phylogenetic signal metric. Missingness in body size seems to be highly clumped, but the amount of missing data is low. Missingness is random in the other two traits.



**Fig S13.** Spatial correlogram with Moran's *I* of the residuals of the best Generalized Least Square (GLS) models used in the structural equation models.



**Fig. S14.** Residual diagnosis for each of the four best GLS models included in the SEM for amphibians. Plots show the fitted vs. residuals for the best correlation structure, from the five tested (exponential, Gaussian, linear, ratio, and spherical), used in final GLS models.



**Fig. S15.** Residual diagnosis for each of the four best GLS models included in the SEM for squamates. Plots show the fitted vs. residuals for the best correlation structure, from the five tested (exponential, Gaussian, linear, ratio, and spherical), used in final GLS models.



**Fig. S16.** Residual diagnosis for each of the four best GLS models included in the SEM for birds. Plots show the fitted vs. residuals for the best correlation structure, from the five tested (exponential, Gaussian, linear, ratio, and spherical), used in final GLS models.



**Fig. S17.** Residual diagnosis for each of the four best GLS models included in the SEM for mammals. Plots show the fitted vs. residuals for the best correlation structure, from the five tested (exponential, Gaussian, linear, ratio, and spherical), used in final GLS models.

### Tables

Table	<b>S1.</b>	Functional	traits	used	to	calculate	functional	dispersion	(FDis),	showing
variabl	le typ	be and its ec	ologic	al inte	erpr	retation.				

Traits	Group	Variable type	Ecological interpretation	Reference	
Maximum body	Anura/		Correlated with		
size (mm)	Squamates		various		
Maximum body mass (g)	Birds/ Mammals	Continuous	ecological characteristics that impact fitness	Peters (1986)	
Activity period	Anura Squamates Birds Mammals	Dummy (Crepuscular, diurnal, nocturnal) Dummy (Nocturnal or Diurnal) Binary (Nocturnal or not) Dummy (Cathemeral, crepuscular, diurnal, nocturnal)	Time dimension. Affects foraging patterns in endotherms, and is related to desiccation risk in ectotherms	Kronfeld- Schor et al, (2001)	
Habitat	Anura Squamates Birds Mammals	Dummy (Fossorial, terrestrial, aquatic, and arboreal) Dummy (Fossorial, terrestrial, aquatic, and arboreal) Fuzzy/Binary (Foraging strategy understory, canopy, ground, mid high, aerial/Pelagic specialist) Dummy (Fossorial, ground dwelling, above ground dwelling, aquatic)	Spatial dimension. The habitat in which a species is mostly found, which strongly influences species performance in the environment. Proxy for ecological specialization.	Oliveira and Scheffers (2018)	

**Table S2.** Pairwise spatial congruence between the harmonized (harm, species with traits only) and complete dataset (full, only species present in the tree) for species richness and Assemblage Age (AA). High correlation values indicate that the two datasets do not differ in terms of spatial patterns. Tjøstheim correlation coefficients above 0.46 are significant (\*). As diversification rate also depends on ancestral range reconstruction, we used the complete dataset for calculating DR and assemblage age.

Amphibia	rich_harm	aa_harm					
rich_full	0.891	-					
aa_full	-	0.375					
Squamates							
rich_full	0.848	-					
aa_full	-	0.344					
Birds							
rich_full	0.886						
aa_full	-	0.001					
Mammals							
rich_full	0.838	-					
aa_full	-	0.037					

**Table S3.** Phylogenetic signal (Fritz' *D* statistic) for the distribution of missing traits in the phylogeny of each tetrapod group. *D* values close to 1, and significant, indicate that the trait is randomly distributed in the phylogeny, while those close to 0 indicate the trait is clumped, as it would be expected under a threshold model following a Brownian Motion model (Fritz & Purvis 2010). P(D>0) = P value for the difference from random distribution; P(D<1) = P value for departure from Brownian Motion.

	Frogs			Squamates P			Birds			Mammals		
	D	<b>P</b> ( <b>D</b> >0)	<b>P</b> ( <b>D</b> <1)	D	<b>P</b> ( <b>D</b> >0)	<b>P</b> ( <b>D</b> <1)	D	<b>P</b> ( <b>D</b> >0)	<b>P</b> ( <b>D</b> <1)	D	<b>P</b> ( <b>D</b> >0)	<b>P</b> ( <b>D</b> < <b>1</b> )
Body Mass/Size	0.819	0.074	0.044	0.867	0.021	0	0.641	0	0.09	-1.389	0.002	0.838
Circadian activity	0.732	0	0	0.527	0	0.001	0.644	0	0.09	0.695	0.001	0
Habitat	0.354	0.001	0.352	0.793	0.001	0	1	0	0	0.719	0.083	0.081
Harmonized dataset												
--------------------	----------	-----------	----------	-------------	----------	----------	-------------	--				
Amphibia	LnL	numparams	d	e	j	AICc	Δ_AICc					
DEC+J	-1496.31	3	0.01	0.01	0.056827	2998.688	0					
DEC	-1502.18	2	0.01	0.01	0	3008.387	9.699699629					
Squamata												
DEC+J	-744.104	3	0.010753	0.001504372	0.205873	1494.301	0					
DEC	-792.253	2	0.021318	0.014404208	0	1588.553	94.25132368					
Birds												
DEC+J	-1664.56	3	0.002788	1.00E-12	0.041223	3335.166	0					
DEC	-1900.67	2	0.01	0.01	0	3805.36	470.1946061					
Mammals												
DEC+J	-468.508	3	0.037485	0.012229513	1.00E-05	943.1644	0					
DEC	-483.756	2	0.031028	0.012878324	0	971.5862	28.42185226					

**Table S5.** Model selection procedure used to choose the spatial correlation structure (corExp, corGaus, corLin, corRatio and corSpher) used in Generalized Least Squares (GLS) models and their respective degree of freedom (Df). Correlation structures were used to control for the spatial autocorrelation in model residuals \*. Values represent Akaike information criterion (AIC). Bold values are the best-fit models entered in the Structural Equation Models (SEM).

Taxa	Models	corExp	corGaus	corLin	corRatio	corSpher	Df
Anura	fdis_amp ~ climate_amp + Elevation + Productivity + dr_amp + aa_amp	-11401.88	-11342.63	-11398.76	-11385.89	-11400.07	9
	rich_amp ~ climate_amp + Elevation + Productivity + dr_amp + aa_amp	-5802.490	-5797.746	-5801.138	-5829.383	-5803.619	9
	aa_amp ~ climate_amp + Elevation + Productivity	-4529.912	-4517.432	-4483.155	-4525.924	-4524.018	7
	dr_amp ~ aa_amp + climate_amp + Elevation + Productivity	-13167.47	-13156.05	-13166.82	-13166.09	-13169.12	8
Squamata	fdis_squa ~ climate_squa + Elevation + Productivity + dr_squa + aa_squa	-11253.72	-11213.58	-11253.72	-11244.02	-11254.44	9
	rich_squa ~ climate_squa + Elevation + Productivity + dr_squa + aa_squa	-6300.788	-6270.393	-6202.370	-6285.531	-6301.763	9
	aa_squa ~ climate_squa + Elevation + Productivity	-5229.741	-5229.955	-5226.806	-5236.125	-5229.712	7
	dr squa ~ aa squa + climate squa + Elevation + Productivity	-12653.77	-12639.71	-12652.68	-12648.75	-12657.02	8

Table S5. Continued

Taxa	Models	corExp	corGaus	corLin	corRatio	corSpher	Df
Birds	fdis_birds ~ climate_birds + Elevation + Productivity + dr_birds + aa_birds	-11639.85	-11643.98	-11639.83	-11657.59	-11634.85	9
	rich_birds ~ climate_birds + Elevation + Productivity + dr_birds + aa_birds	-5314.605	-5332.195	-5314.605	-5351.533	-5315.251	9
	aa_birds ~ climate_birds + Elevation + Productivity	-5869.470	-5849.643	-5864.742	-5867.418	-5866.394	7
	dr_birds ~ aa_birds + climate_birds + Elevation + Productivity	-12852.42	-12838.82	-12852.42	-12848.76	-12852.95	8
Mammals	fdis_mammals ~ climate_mammals + Elevation + Productivity + dr_mammals + aa_mammals	-11001.61	-10975.20	-11001.61	-10992.68	-11001.61	9
	rich_mammals ~ climate_mammals + Elevation + Productivity + dr_mammals + aa_mammals	-6533.813	-6496.721	-6533.416	-6519.618	-6534.631	9
	aa_mammals ~ climate_mammals + Elevation + Productivity	-4717.570	-4718.390	-4676.348	-4717.584	-4721.649	7
	dr_birds ~ aa_birds + climate_birds + Elevation + Productivity	-12087.83	-12071.86	-12087.83	-12085.71	-12087.83	8

# Effects of climatic niche on the rate of body size evolution in terrestrial vertebrates

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- 13 Data Availability Statement
- 14 All data and associated R scripts are available at
- 15 https://github.com/mmoroti/vertebrates\_evolution

#### 16 Abstract

Several factors determine phenotypic variation at macroevolutionary scales. While the Red 17 Oueen Hypothesis highlights the importance of biotic factors, such as competition, in the 18 divergence of traits between species, the "Court Jester Hypothesis" highlights the influence of 19 abiotic factors, such as the climate niche. Studies indicate that the evolution of the climate niche 20 21 can have a strong impact on the phenotypic variation of species. However, these issues have been evaluated on a global scale or using specific lineages, while little is known about how the 22 23 climatic niche can influence the phenotypic evolutionary rates of different communities in the same geographic template. In addition, no study has yet analyzed how the climatic niche of 24 25 vertebrates with different thermal tolerances affects the evolution of body size. Here, we estimated the rate of body size evolution for ectotherms (frogs and squamates) and endotherms 26 27 (birds and non-volant mammals) that occur in the Atlantic Forest, a climatically and historically complex biome. Then, we tested how these rates are affected by their climatic niche. We found 28 that terrestrial vertebrates show idiosyncratic patterns of body size evolution through time. 29 Furthermore, we found high heterogeneity in evolutionary rates within each group in the 30 Atlantic Forest, with lineages showing accelerations and other decelerations. Our results 31 32 suggest that different selective pressures act on each group, leading to unique patterns of changes in body size over time. However, there is no statistically significant relationship 33 between the rates of phenotypic evolution and the climatic niche of species, but the width had 34 35 a greater influence on the variation of rates than the niche position. Our study represents the first step in understanding the evolutionary patterns of phenotypic evolution in vertebrates. 36

37 Keywords: Body mass, Functional traits, Tetrapods, Macroevolution, Red Queen Hypothesis,

#### 38 Introduction

Several factors determine phenotypic variation on macroevolutionary scales (Harmon, 39 2017). From the tradition of paleobiology, the influence of biotic factors on trait evolutionary 40 rates and lineage diversification has been called the "Red Queen Hypothesis" (Van Valen, 41 1973), while the influence of abiotic factors "Court Jester Hypothesis" (Barnosky, 1999). Much 42 emphasis has been given to biotic interactions, such as competition (e.g. Drury et al, 2016; 43 Drury et al, 2018), as being the main determinants of the divergence of ecologically relevant 44 characters between species at micro and macroevolutionary scales (reviewed in Hembry and 45 Weber, 2020). Conversely, other studies point out that the climatic niche can also influence the 46 diversification and variation of traits (Lawson and Weir, 2014; Clavel and Morlon 2017, 47 Luzuriaga-Aveiga and Weir 2019; Barreto et al, 2023a). The climatic niche is the set of climatic 48 49 conditions to which populations of a species are subject throughout their geographic distribution (Budic and Dormann 2015). Usually, this measure is used to project the 50 51 physiological tolerance limits of a species (Bozinovic et al, 2011) in the multidimensional 52 climate space and to investigate its influence on the spatial distribution of species and range 53 limits (Gaston, 2003). Interestingly, high rates of climatic niche evolution seem to promote speciation, as demonstrated for salamander lineages (Kozak and Wiens, 2010), Anolis lizards 54 55 (Velasco et al, 2016), birds (Cooney et al, 2016; Barreto et al, 2023a), and Gentianaceae (Matuszak et al, 2016). Therefore, assuming that diversification rates of species are correlated 56 57 with phenotypic rates evolution (see Rabosky and Adams, 2012, Rabosky et al, 2013, Barreto et al, 2023a), it is possible that the evolution of the climatic niche also has a strong influence 58 on the phenotypic variation of species (Lawson and Weir, 2014). 59

60 The evolutionary rate of a trait is the speed with which it evolves along the phylogeny, that is, its variance through the time. In general, these issues have been evaluated on a global 61 62 scale or using specific lineages (e.g. Luzuriaga-Aveiga and Weir 2019; Huang et al, 2022; 63 Barreto et al, 2023a), while little is known about how the climatic niche can influence the 64 phenotypic evolutionary rates of different communities in the same geographic template. For 65 example, there is a marked difference between the North (historically warmer and more stable) 66 and the South Atlantic Forest (colder and more unstable; Carnaval et al, 2014), which may contribute to selecting species with life history traits more adapted to each region. Ecological 67 68 gradients seem to be involved in the speciation of *Rhinella crucifer* frogs in the Atlantic Forest 69 (Thomé et al, 2010) and in the intraspecific divergence of birds and mammals on a global scale (Botero et al, 2014). Likewise, the ecological differentiation of Neotropical birds may 70

accelerate trait evolution, but does not seem to affect species diversification (Luzuriaga-Aveiga and Weir, 2019). Specifically, elevation difference is associated with faster divergence in bird calls, as well as morphological traits that contribute to reproductive isolation (Luzuriaga-Aveiga and Weir, 2019). However, no study has yet analyzed how the climatic niche of vertebrates with distinct dispersal abilities and thermal tolerance affects the evolution of ecologically relevant traits such as body.

The body size of a species is often used to differentiate species (Peters, 1986). In 77 addition, it is also a proxy for other ecologically important variables, such as metabolic rates 78 and susceptibility to dehydration, which may restrict the dispersal and colonization capacity of 79 certain lineages (Rolland et al, 2018; Gouveia et al, 2019). Endotherms (birds and non-volant 80 mammals), for example, have significantly lower rates of body temperature evolution than 81 82 ectotherms (amphibians and squamates; Moreira et al, 2021). Thus, endotherms and ectotherms are expected to have different thermal tolerances, triggering different evolutionary responses 83 84 to climatic conditions (Rolland et al, 2018). According to Bergmann's rule, selection favors 85 larger body sizes in colder climates, such as higher latitudes and elevations (e.g. Pincheira-Donoso, 2010). However, this evidence seems to be more supported in endotherms (Meiri, 86 2011; Olson et al, 2009; Pincheira-Donoso, 2010), while there are still divergences of its effect 87 88 on ectotherms (Pincheira-Donoso & Meiri, 2013; Slavenko et al, 2019; Womack & Bell, 2020). In addition, there is also a relationship between rainfall seasonality and body size of frogs, in 89 90 which species develop larger body sizes in climates where there is less precipitation (Johnson et al, 2023). 91

Here we tested how the climatic niche of terrestrial vertebrates (amphibians, squamates, birds 92 and non-volant mammals) occuring in the Atlantic Forest, a climatically and historically 93 complex biome, affects body size evolution. We address the following questions: 1) Is there a 94 95 similar pattern in the evolutionary trajectories of ectotherms and endotherms? 2) Does the climatic niche of a species dictate the speed of phenotypic evolution? We expect that climate 96 97 gradients over space and time are important in differentiating the body size evolution of endotherms and ectotherms. Thus, we expect to find more similar evolutionary trends between 98 99 ectotherms, when compared to endotherms, since physiological restrictions can be important evolutionary drivers in these animals (Rolland et al, 2018; Gouveia et al, 2019). 100

#### 101 Materials and methods

# 102 Phylogeny

The Maximum Clade Credibility Tree (MCCT) topology of Jetz & Pyron (2018), which 103 104 includes 7,239 amphibian species, was used for frogs. The fully-sampled consensus tree by Tonini et al (2016), encompassing 9,754 squamate species. For mammals, we utilized the fully-105 sampled tree provided by Upham et al (2019) with 4,175 species. For birds, since a consensus 106 tree was not available, we followed the approach of Rubolini et al (2015) and generated 10,000 107 posterior trees for the 9,993 bird species using the 'Hackett' backbone proposed by Jetz et al 108 (2012). Subsequently, we constructed a maximum clade credibility tree (MCCT) using the 109 110 DendroPy and Sumtrees (Sukumaran and Holder, 2010) packages in Python 3.9.13 (Van Rossum and Drake, 2009). 111

112

### 113 Trait data

We obtained data on body size for frogs, and body mass for squamates, birds, and 114 mammals for all species included in the respective phylogenies above from the following 115 sources: i) amphiBIO (Oliveira et al, 2017) and Vasconcelos et al (2019) for frogs; ii) Guedes 116 117 et al (2023) for squamates; iii) AVONET (Tobias et al, 2022) for birds; and iv) Elton Traits (Wilman et al, 2014) for mammals, complemented with PanTHERIA (Jones et al, 2009) and 118 Phylacine (Faurby et al, 2018). Afterwards, we pruned the respective phylogenies using the R 119 package *picante* (Kembel et al, 2010) to only include species to which we have trait data. 120 However, we excluded 786 amphibian species (~11%), 268 squamate species (~3%), and 322 121 122 mammal species (~8%) from further analysis, as they did not have available phylogenetic information in the phylogenies.Furthermore, 1,400 amphibian (~21%) and 82 mammalian 123  $(\sim 2\%)$  species had no body size/body mass data available. Therefore, we imputed trait data for 124 these species. 125

We used phylogenetic imputation methods that perform well for macroecological studies. In addition, our data have adequate assumptions because they consist of continuous variables (body mass and body size) and represent <25% of the database (Johnson et al, 2021). Firstly, we fitted alternative evolutionary models (Brownian motion, Ornstein-Uhlenbeck, and Early Burst) to body size/body mass using the *geiger* R package (Pennell et al, 2014) for 5,053 species for amphibians and 3,771 species for mammals. The best fit model was Ornstein-

Uhlenbeck (OU) for both groups. After that, we used this model to conduct data imputation in 132 the *RPhyloPars* package (Goolsby et al, 2017). For birds and squamates, this procedure was 133 not necessary, as all species that have available body mass data were present in the respective 134 trees. Thus, our final dataset contained 6,453 amphibians, 9,487 squamates, 9,993 birds, and 135 3853 non-flying mammal species. Our final dataset corresponds to ~75% of amphibian richness 136 (8,646 species in Frost, 2023), ~82% of reptiles (11,549 species in Uetz, 2021), ~91% of birds 137 (10,906 species in Billerman et al, 2022), and ~76% of mammals (5,084 species, excluding 138 Chiroptera in Upham, 2023) known to occur in the world. 139

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# 141 Rate of phenotypic evolution

142 To estimate the rate evolution of body size (Frogs) and body mass (Squamates, mammals and birds) of vertebrates occurring in the Atlantic Forest, we used a two-step 143 procedure. First, we used trait data for all species sampled in the most comprehensive tree 144 available in the literature for each group. Then, we retrieved the rate estimated only for the 145 species occurring in the Atlantic Forest from these global trees. We adopted this approach 146 because the Atlantic Forest species pool did not evolve independently of other sister species 147 that may occur in other biogeographical formations (Drury et al, 2016; Drury et al, 2018; Drury 148 et al, 2021). In addition, through simulation work, it was found that larger ( $\geq$  450 points) and 149 150 more complete ( $\geq 60\%$ ) phylogenetic trees are more suitable for analysis of diversification rates (Mynard et al, 2023). 151

We estimated rates of phenotypic evolution using BAMM v.2.5.0 (Bayesian analysis 152 of macroevolutionary mixture; Rabosky et al, 2014). BAMM has some advantages in 153 comparison with other methods (e.g. Cooney and Thomas, 2021; Barreto et al, 2023a). For 154 155 example, it allows the modeling of evolutionary rates over time and between clades, detecting the heterogeneity. Furthermore, it is able to detect evolutionary innovations, rate shifts, and 156 diversity dependency in phylogenetic trees. In addition, it is computationally efficient, allowing 157 us to deal with large sets of phylogenetic data. Firstly, we used the 'BAMMtools' R package 158 (Rabosky et al, 2014) to create a batch file, including priors and other parameter estimates for 159 each group separately set the rate of change before  $\gamma = 1$  and sampled models every 1,000 160 generations for a total of 12 million generations for amphibians and birds, 45 million 161 generations for squamates, and 10 million generations for mammals. We used a burn-in of 25% 162 of generations for all groups. The number of generations and burn-in used to estimate the 163

evolutionary rates of the traits varied and were chosen based on visual inspection of the loglikelihood of the MCMC output and the effective sample sizes ( $\geq 200$ ). Afterwards, we extracted the rates from the posterior distribution to obtain the phenotypic rate for each species using the *getTipRates* function and *plotRateThroughTime* function to visualize the rate of evolution through the time. Although this is not a hypothesis testing approach, exploratory approaches can generate new insights into the phylogenetic natural history of clades (Uyeda et al, 2018)

- 171
- 172 Species composition of the study extent

Similarly to the first chapter, using the *letsR* package (Vilela and Villalobos, 2015) in 173 R, we obtained presence-absence matrices separately for each group of vertebrates by 174 overlaying polygons on a 10x10 Km grid with 0.5° cells, totaling 432 communities (or cell 175 grids). We considered a species to be present in a cell if at least 50% of the species' polygon 176 covered the cell. We used the consensus extent of the Atlantic Forest proposed by Muylaert et 177 al (2018) to determine species occurrence in the domain. We obtained extent-of-occurrence 178 data for amphibians from IUCN (2021) and complemented it with shapefiles from Vasconcelos 179 et al (2019). Occurrence data for squamates was sourced from Roll et al (2017), while bird 180 occurrence data was extracted from BirdLife (2015), and mammals from IUCN (2021). Our 181 182 species pool comprised native and non-migrating species across the entire Atlantic Forest. In total, our presence-absence matrices included 518 frog species, 402 squamates, 815 birds, and 183 184 236 mammals.

Finally, we extracted the rates of phenotypic evolution for each species estimated with BAMM for the species present in those presence-absence matrices. However, 54 species of frogs (~10%), 34 species of squamates (~9%), 120 birds (~15%), and 42 mammals (~14%) were not sampled in their respectives phylogenies and are not included in further analysis. Our final dataset corresponds to ~89% of amphibian richness (707 species), ~91% of squamates (492 species), ~68% of birds (1,025 species), and ~74% of mammals (262 species) known to occur in the Atlantic Forest (Figueiredo et al, 2021).

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#### 193 Climatic niche

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We used PALEO-PGEM-Series, a global dataset that provides spatio-temporal

195  $(1^{\circ} \times 1^{\circ})$  information on climate variables dating to 5 Myr, to extract bioclimatic variables at a 1 kyr resolution (Barreto et al, 2023b). This dataset offers detailed climate data and is derived 196 from emulations performed with an atmosphere-ocean general circulation model. In our study, 197 we focused only on data from the past 5 Myr (Plio-Pleistocene). We collected this data because 198 we assume that the phenotypic evolution of species occurred over time, being more influenced 199 by past climates than present ones. For this reason, we collected the oldest spatially explicit 200 climate data in the literature to our knowledge. By incorporating this database, we can use it as 201 a proxy to evaluate the past climatic niche and its influence on evolutionary rates (Barreto et 202 203 al, 2023b). This enables us to investigate the relationship between species evolution and past climate conditions, contributing to a better understanding of the connections between 204 205 biodiversity and climate. This is particularly valuable for studying the macroevolutionary processes of species, as these processes occur over extended periods of time. For comparative 206 purposes, we also used the present climate data from CHELSA ( $0.5^{\circ} \times 0.5^{\circ}$ ; Brun et al, 2022), 207 208 which corresponds to the mean between 1981–2010. In order to obtain the climatic variables for the study geographical extent, we used the *raster* package (Hijmans and van Etten, 2015) 209 210 in R and extracted the bioclimatic mean for each grid cell.

Factors such as temperature, precipitation, humidity, and seasonality can directly affect 211 212 morphological, physiological, and behavioral aspects of organisms (Luzuriaga-Aveiga and Weir, 2019; Drury et al, 2021; Huang et al, 2022; Barreto et al, 2023). Thus, variations in 213 214 climatic variables throughout space can influence trait evolution, such as body size and growth rate (e.g. Huang et al, 2022). For this reason, we have chosen six bioclimatic variables that 215 potentially impact the rates of trait evolution in vertebrates. Furthermore, we chose the six 216 217 bioclimatic variables that were present in both datasets: Mean annual air temperature (BIO1), Temperature Seasonality (BIO4), Temperature Annual Range (BIO7), Mean Temperature of 218 Coldest Quarter (BIO11), Precipitation Seasonality (BIO15), and Precipitation of Driest 219 Quarter (BIO17). Afterwards, we extracted the mean of each climate variable for the grid used 220 221 to make the composition matrix. To identify and handle potential multicollinearity between variables, we calculated the Variation Inflation Factor (VIF) in the usdm R package (Naimi et 222 al, 2014). Variables whose VIF values > 3 were excluded from further analysis. Specifically, 223 we excluded Temperature Seasonality (BIO04), Temperature Annual Range (BIO07), and 224 225 Mean Temperature of Coldest Quarter (BIO11) from the past data (PALEO-PGEM-Series) and Mean annual air temperature (BIO01) and Mean Temperature of Coldest Quarter (BIO11) from 226 227 present data (CHELSA).

228 With the selected climate data, we calculated the climatic niche mean and breath for the past and present. We represented the breadth and position of the species' climatic niche using 229 the Outlying Mean Index (OMI). The OMI analysis is a constrained ordination technique 230 specifically developed to model linear and nonlinear species-environment relationships and it 231 232 is useful to build multidimensional climatic volumes (Dolédec et al, 2000). Thus, it is possible to obtain insights into the tolerance (Tol) and the centrality (OMI) of the species' niche, 233 distinguishing between generalist taxa that tolerate a wide range of environmental conditions 234 and specialist ones that are limited to specific climatic conditions. Analysis was conducted in 235 the ade4 package (Dray and Dufour, 2007) in the R software. As climatic variables have 236 different measurement units, the 'scale' and 'center' arguments of the 'dudi.pca' function were 237 set to 'True' to standardize the scale (Legendre and Galagher, 2001). 238

239

# 240 Statistical analysis

To test the influence of the species' climatic niche on the rate of phenotypic evolution 241 (rate\_phenotipic\_evolution ~ OMI\_present + OMI\_past + Tol\_present + Tol\_past), we used 242 Phylogenetic Generalized Least Squares (PGLS) models for each group separately. This 243 analysis consists of Generalized Linear Models (GLS) that incorporate a covariance matrix 244 describing the phylogenetic relationships between the studied species. Specifically, we fitted 245 the model using REML, while also estimating Pagel's lambda parameter to control for 246 phylogenetic autocorrelation in the residuals (Revell, 2010). Analysis was conducted in the 247 *nlme* R package (Pinheiro et al, 2017). We calculated the coefficients of determination  $(R^2)$ 248 using the rr2 package in R (Ives and Li, 2018). (Figure 1). Diagnostics was conducted by 249 250 visually inspecting the fitted vs. residual plot (Figure S1). Residuals of all models had homogeneity of variance. 251





Figure 1. Schematic model of the methodology used. First, we chose the most 255 256 comprehensive species tree known for each terrestrial vertebrate group, as well as using the respective broader databases with amphibian body size, and body mass of squamates, birds and 257 258 mammals. After that, we estimate the evolutionary rates for each group using BAMM 259 (Bayesian analysis of macroevolutionary mixture; Rabosky et al, 2014) and then extract these 260 rates for each species to obtain the phenotypic evolutionary rate. To access the climatic niche of each species, we consulted two temporal-spatially explicit climate databases (Present in 261 262 CHELSA database in Brun et al, 2022 and past PALEO-PGEM-Series in Barreto et al, 2023b). We extract the mean of each climate variable for the grid used to make the species composition 263 matrix of vertebrates in Atlantic Forest. After that, we extract niche indices for past and present 264 data. We chose to represent the breadth and position of the species' climatic niche using the 265 Outlying Mean Index (OMI). Finally, to test the influence of the species' climatic niche on the 266 rate of phenotypic evolution (rate phenotipic evolution ~ OMI present + OMI past + 267 Tol\_present + Tol\_past), we employed a Phylogenetic Analysis of Generalized Least Squares 268 (PGLS). 269

#### 271 **Results**

The world's terrestrial vertebrates exhibit idiosyncratic patterns in their respective 272 evolutionary rates of body size and body mass through time (Figure 2). The rate through time 273 274 plot of amphibians shows a peak from 200 Myr then the rate is practically stationary onwards. There is also a small drop from 50 Myr onwards. In squamates, evolutionary rates are more 275 stationary over time when compared to other groups, with a recent exponential peak, in the 276 60Myr. There is only a small increase before 50 Myr and another exponential increase more 277 recently. In birds, there are two peaks: the first one close to 80 Myr, and another soon after 50 278 Myr. After these peaks, the rate of evolution of bird body mass shows a declining trend. In 279 mammals, there is a declining trend in the rate through time. 280





**Figure 2.** Rate evolution of body size (amphibians) and body mass (squamates, birds and mammals) through-time trajectories for terrestrial vertebrates. Trait rate indicates the evolution rates of body size/body mass for the broader tree known, indicating the trend of adaptive radiation of vertebrates in the world. The blue density shading illustrates the relative probability of a rate at any point in time and the red line indicates the evolutionary trajectory of body size/body mass.

289 We found high heterogeneity in the evolutionary rates within each group occurring in 290 the Atlantic Forest (Figure 3). In amphibians, most species had higher rates. However, the highest rates were in *Bokermannohyla* treefrogs (Hylidae) and in *Leptodactylus* frogs 291 (Leptodactylidae). In Bufonidae, those of the genus Dendrophryniscus and Melanophryniscus 292 293 had lower rates, while Rhinella had higher rates. Likewise, brachycephalid frogs had lower rates of body size evolution. In squamates, rates differed between lizards, amphisbaenians, and 294 snakes. Lizards had the lowest rates of body mass compared to other groups. Amphisbaenians 295 on the other hand had high rates. In snakes, this pattern is more distributed, with *Dipsas* snakes 296 297 (Dipsadinae) and Liotyphlops (Anomalepididae) with lower rates, and Boidae and 298 Pseudoboinae with higher rates. In birds, rates were concentrated in only a few lineages, such as Tinamiformes, Galliformes, and Accipitriformes, with the highest ones in ducks, geese, and 299 300 other waterfowl species. Other lineages, such as hummingbirds, generally had lower evolutionary rates for body mass. In mammals, there were more species with intermediate 301 302 evolutionary rates. However, primates in general had lower rates, as well as the Tayassuidae wild pigs and tapir (Tapirus terrestris). Conversely, the highest evolutionary rates were in 303 304 Felidae, Cryptonanus opossums, and some lineage-specific species.

We found more restricted climatic niche spaces in ectotherms (amphibians  $R^2 = 0.93$ ; 305 306 squamates R2 = 0.35) when compared to endotherms (birds R2 = 0.93; mammals R2 = 0.93) (Figure 4). However, we found no significant relationship between species niche position or 307 niche breadth on evolutionary rate of body size/mass in the species pool from the Atlantic 308 309 Forest (Figure 5). Furthermore, niche breadth had a greater influence on evolutionary rate than niche position. The confidence interval overlaps positive and negative values, indicating that 310 311 there is a high variation in rates across all vertebrate groups, but lower in amphibians. Still, there is a marked difference between amphibians and other vertebrates. While the niche breath 312 for present climate data of amphibians negatively influenced the evolutionary rate, for the other 313 groups it was the opposite. We also found opposite effects when comparing past and present 314 climate data. There was a positive effect of present niche breadth on evolutionary rate, but a 315 negative effect of past climate. In addition, past climate data showed negative coefficients in 316 all groups, with the exception of niche position (OMI) in amphibians. This means that the effect 317 of evolutionary rate depends on the time scale of the data and can affect the conclusions of how 318 319 climate impacts on phenotypic rate evolution.



Figure 3. Evolution dynamics of body size and body mass of terrestrial vertebrates that occur in the Atlantic Forest domain. Warmer colors (yellow) denote faster rates of trait evolution, cold colors (blue) indicate slower rates. In general, it is possible to note that the faster evolutionary rates are more concentrated and distributed among the ectotherm lineages when compared to the endotherms. Trees with tip labels are available in supplementary material.



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Figure 4. Climatic niche mean (OMI and OMI past) and niche breadth (Tol and Tol past) for the past and present data. Lower values (lighter tones) indicate more specialized taxa, in which case they are limited to specific climatic conditions. Higher values (reddish tones) indicate generalist taxa, those that tolerate a wide range of environmental conditions.



Figure 5. Phylogenetic Analysis of Generalized Least Squares (PGLS) of each group of 332 vertebrates from the Atlantic Forest and the respective coefficients (black dots) and confidence 333 intervals (dashed line). Specifically, we model climatic variables that represent the past and 334 present climatic niche of terrestrial vertebrates, and what their effects are on the evolution of 335 body size. Although no relationship tested was significant (P > 0.05), it is possible to observe 336 that the breadth of the climatic niche has a greater effect on all vertebrate groups when 337 compared to the position of the niche. OMI represents the position of the climatic niche in the 338 present (OMI present) and in the past (OMI past), that is, the centrality of the climatic space in 339 340 which the species occurs. Likewise, the climatic niche breadth is represented in the present (Tolerance present) and in the past (Tolerance past). 341

#### 342 Discussion

We found distinct patterns in the temporal trends of the phenotypic rate evolution for 343 terrestrial vertebrates. Still, we did not observe a marked difference between ectotherms and 344 endotherms. These idiosyncratic patterns may indicate that different adaptive processes are 345 operating in each group. Likewise, the same idiocratic pattern happens in the Atlantic Forest 346 347 species pool. For example, there is a deceleration of the evolutionary rate in small amphibians species (e.g., *Dendrophryniscus* spp., Brachycephalidae), while in mammals there seems to be 348 a deceleration in larger species (e.g., Primates and Tapirus terrestris). In addittion, we found 349 no statistically significant relationship between climatic niche and rate of evolution of body 350 size. However, the climatic niche breadth was more important than niche position to explain 351 variations in evolutionary rates. This suggests that each group may have experienced distinct 352 353 selective pressures, resulting in unique patterns of changes in body size through time (e.g., Luzuriaga-Aveiga and Weir, 2019; Drury et al, 2021). Our findings represent the first step to 354 355 unravel the importance of climate on the rate of phenotypic evolution in vertebrates, especially 356 for understanding the complex evolutionary history of the Atlantic Forest and its high 357 biodiversity.

Contrary to our expectation, there was no marked difference between the temporal 358 trends of endotherms and ectotherms. Furthermore, we found that the world's terrestrial 359 vertebrates exhibit idiosyncratic patterns in their respective phenotypic evolutionary 360 trajectories. Therefore, it is possible that terrestrial vertebrates are subject to different selective 361 pressures that act differently on the body size evolution of each group (e.g., Moreira et al, 2021; 362 Johnson et al, 2023). For example, an increase in the diversification rate occurred in birds from 363 about 50 Myr ago to the present (Jetz et al, 2012). Conversely, when we evaluate the rates of 364 body size evolution over time for birds, a deceleration happens at approximately the same 365 366 period (~50 Myr). Although our results do not allow clarifying the mechanisms that influenced 367 this pattern, a prominent decline in the body mass of modern birds is recognized in several taxa 368 (Kiat et al, 2021), which may be related to the flight performance (Dececchi and Larsson, 2013; Puttick et al, 2014). Indeed, it is expected that fluctuations in the phenotypic rate evolution are 369 370 related to the exploration of new adaptive zones for each group (e.g., Barreto et al, 2023a). Similarly, physiological constraints influence the body size of amphibians orders differently. 371 372 For example, caecilians have larger body sizes in arid areas, while anurans are more subject to seasonality of precipitation and temperature (Johnson et al, 2023). In this context, biotic 373 374 (Hembry and Weber 2020) and abiotic (Lawson and Weir, 2014; Clavel and Morlon, 2017; Luzuriaga-Aveiga and Weir, 2019) pressures over time act in different ways in each group ofvertebrates.

377 We found high heterogeneity in evolutionary rates within each group of Atlantic Forest 378 vertebrates, with some lineages showing accelerations in the phenotypic rate evolution and others a deceleration. We found a pattern of high evolutionary rates in lineages of water and 379 land birds, such as ducks, geese, and chickens. In snakes, we found higher rates in large species, 380 such as those from the Boidae family, and decelerations in rates in snakes from the 381 Typhlopoidea that have fossorial habits and are one of the smallest species in the regional pool. 382 The bromeliad-toads *Dendrophryniscus* have small body sizes (Botelho et al, 2023), with low 383 evolutionary rates of body size. While the cane toad (*Rhinella* spp.) is a large, terrestrial species 384 and had high evolutionary rates. It is possible that the variation in evolutionary rates in this 385 386 way are associated with specific adaptations to the ecological niche that these species occupy (Luzuriaga-Aveiga and Weir, 2019; Drury et al, 2021; Huang et al, 2022). Species like 387 388 *Dendrophryniscus* spp. because they occupy bromeliads they need to have smaller body sizes 389 to use this site, so it is possible that body size has changed little over time. For example, in 390 Neotropical birds, the difference in habitat use can accelerate the evolution of song, an important attribute for sexual selection (Luzuriaga-Aveiga and Weir, 2019). Likewise, the 391 392 evolution of mammalian body size has been strongly influenced by habitat transitions, with only an indirect effect of past climate changes (Huang et al, 2022). Thus, it is possible that the 393 394 presence of lower evolutionary rates in certain groups indicates specialized adaptations and a 395 certain stability through time in their ecological niches. Therefore, the rates may be related to 396 the diversity and occupation of particular ecological niches of each lineage (Simpson, 1953; 397 Jetz et al, 2012; Drury et al, 2021). Although exploratory, our phylogenetic natural history approach (Uyeda et al, 2018) adds new evolutionary hypotheses to explain the body size 398 399 evolution of terrestrial vertebrate communities in the Atlantic Forest. We will add new efforts 400 to include other axes of the ecological niche of species in an attempt to elucidate the processes 401 that drive evolutionary rates of body size in vertebrates and whether these are related to the occupation of new adaptive zones. 402

We found that the climatic niche is not a correlate for the body size evolutionary rates, which contradicts what is expected by the Court Jester hypothesis (Barnosky, 1999). In addition, endotherms had a greater number of species with wider climatic niches than ectotherms. This may be related to greater thermal tolerance in these groups and a greater dispersal ability than ectotherms (Buckley et al, 2012; Rolland et al, 2018). The lack of a 408 significant relationship between species position and niche width with phenotypic evolutionary 409 rates may indicate that other factors, such as different ecological niche axes (Drury et al, 2018; Huang et al. 2022) and/or constraints of each clade (Drury et al. 2021; Acevedo et al. 2022) 410 may play a more important role in shaping the patterns observed for terrestrial vertebrates of 411 the Atlantic Forest. However, there is a greater influence of the niche breadth on the 412 evolutionary rate, than niche position. This suggests that species tolerance has a greater 413 influence on variation in evolutionary rates in vertebrates (Qu & Wiens, 2020). A possible 414 explanation for this pattern is that species with broader climatic niches may experience greater 415 416 ecological opportunities and experience more selection pressures (e.g., Rolland et al, 2018; 417 Acevedo et al, 2022). Thus, leading to greater variation in evolutionary responses in phenotypic 418 traits. An example of this is that in terrestrial vertebrates, changes in the climatic niche occur faster in endotherms than in ectotherms (Rolland et al, 2018), which allows these animals to 419 exploit a wider range of resources and habitats, which can lead to greater phenotypic variation 420 421 and adaptive responses. As a result, they may experience lower rates of evolution in phenotypic traits because they are already adapted to their environment and faceless selective pressure for 422 423 further body size modifications (Barreto et al, 2023a). Furthermore, these responses may vary 424 within each vertebrate group and spatially (e.g. Drury et al, 2021). More studies are needed to 425 unravel the macroevolutionary patterns of terrestrial vertebrates, especially in historically complex environments such as the Atlantic Forest. 426

427 Our study represents the first step in understanding the evolutionary patterns of phenotypic evolution in vertebrates. In general, vertebrates showed distinct evolutionary trends 428 of body size, probably associated with specializations in their respective life histories. 429 430 Furthermore, we did not find a relationship between the position and width of the climatic niche and their respective body size evolutionary rates. It is possible that other axes of the ecological 431 432 niche, such as the spatial niche related to the habitat and/or the trophic niche, act more strongly on the evolutionary rates of vertebrate body size. These findings have important implications 433 for our understanding of the drivers of evolutionary change in phenotypic rates and the factors 434 that shape biodiversity patterns at regional scales. Still, future studies should be directed 435 towards verifying the evolutionary trends within orders and in the main families of vertebrates, 436 in an attempt to unravel the macroevolutionary patterns of size and body mass in terrestrial 437 438 vertebrates.

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# Supplementary material



**Figure S1.** Evolution dynamics of body size of amphibians that occur in the Atlantic Forest domain. Warmer colors (yellow) denote faster rates of trait evolution, cold colors (blue) indicate slower rates.



**Figure S2.** Evolution dynamics of body mass of squamates that occur in the Atlantic Forest domain. Warmer colors (yellow) denote faster rates of trait evolution, cold colors (blue) indicate slower rates.



**Figure S3.** Evolution dynamics of body mass of birds that occur in the Atlantic Forest domain. Warmer colors (yellow) denote faster rates of trait evolution, cold colors (blue) indicate slower rates.



Figure S4. Evolution dynamics of body mass of mammals that occur in the Atlantic Forest domain. Warmer colors (yellow) denote faster rates of trait evolution, cold colors (blue) indicate slower rates.



**Figure S5.** Diagnosis of the Phylogenetic Analysis of Generalized Least Squares (PGLS) model of each group of vertebrates from the Atlantic Forest.

# Conclusão geral

- Ambas as dinâmicas de equilíbrio e não-equilíbrio atuam juntas para explicar a diversidade de espécies e de características de ectotérmicos e endotérmicos na Mata Atlântica.
- De uma maneira geral, os vertebrados possuem padrões de distribuição espacial idissioncráticos em relação a idade da comunidade, diversidade funcional (FDis) e das taxas de diversificação.
- Apenas a riqueza de espécies apresenta padrão de distribuição semelhante entre os endotérmicos, e destes com os sapos e squamatas. No entanto, não há um padrão congruente da riqueza entre os dois grupos de ectotérmicos.
- A riqueza de espécies e a diversidade de características têm os mesmos preditores nas linhagens de vertebrados terrestres, mas operam de maneira diferente no espaço.
- As trajetórias da taxa evolutiva do tamanho do corpo diferem entre os vertebrados, não havendo uma maior semelhança entre ectotérmicos e endotermos.
- Há uma alta heterogeneidade nas taxas evolutivas dentro de cada grupo de vertebrados da Mata Atlântica, com algumas linhagens apresentando acelerações na evolução fenotípica e outras desacelerando. É possível que as taxas estejam relacionadas a outros eixos do nicho ecológico das espécies, como o habitat.
- O nicho climático que uma espécie ocupa, especificamente a centralidade (posição) do nicho e sua amplitude, não ditam a taxa de evolução fenotípica de vertebrados terrestres da Mata Atlântica.
- Essas descobertas têm implicações para nossa compreensão dos impulsionadores de biodiversidade de vertebrados terrestres na Mata Atlântica, com destaque para a taxa de diversificação como um impulsionador da diversidade de características e da riqueza. Além disso, não encontramos evidências de que o nicho climático dos vertebrados terrestres cause mudanças evolutivas das taxas fenotípicas, seja o nicho climático passado ou do presente.